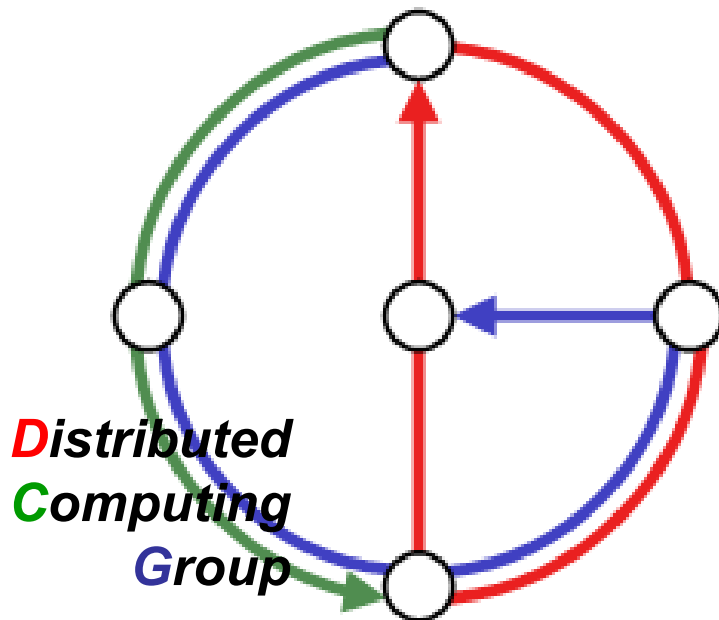


Efficient Computation of Maximal Independent Sets in Unstructured Multi-Hop Radio Networks

Thomas Moscibroda
Roger Wattenhofer

MASS 2004



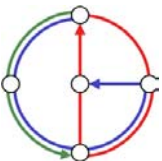
Algorithms for Ad Hoc and Sensor Networks...



Excerpts from a typical paper

Algorithm 2 LP_{MDS} approximation (Δ known)

```
1:  $x_i := 0$ ;  
2: for  $\ell := k - 1$  to  $0$  by  $-1$  do  
3:    $(* \tilde{\delta}(v_i) \leq (\Delta + 1)^{(\ell+1)/k}, z_i := 0 *)$   
4:   for  $m := k - 1$  to  $0$  by  $-1$  do  
5:      $(* a(v_i) \leq (\Delta + 1)^{(m+1)/k} *)$   
6:     send  $\text{color}_i$  to all neighbors;  
7:      $\tilde{\delta}(v_i) := |\{j \in N_i \mid \text{color}_j = \text{'white'}\}|$ ;  
8:     if  $\tilde{\delta}(v_i) \geq (\Delta + 1)^{\ell/k}$  then  
9:        $x_i := \max \left\{ x_i, \frac{1}{(\Delta+1)^{m/k}} \right\}$   
10:    fi;  
11:    send  $x_i$  to all neighbors;  
12:    if  $\sum_{j \in N_i} x_j \geq 1$  then  $\text{color}_i := \text{'gray'}$  fi;  
13:  od  
14:   $(* z_i \leq 1/(\Delta + 1)^{(k-1)/k} *)$   
15: od
```



Assumptions



6: **send** color_i to all neighbors;



How do you know your neighbors ???



How can you exchange data with them ???

→ Collisions (Hidden-Terminal Problem)

Most papers assume that ...

1) ... there is a MAC-Layer in place!

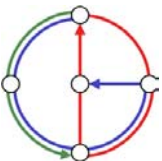
2) ... nodes know their neighbors!



This assumption may make sense in well-established, structured networks,...



...but it is certainly invalid during and shortly after the deployment of ad hoc and sensor networks.



Assumptions...



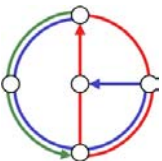
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13:  od
14:   $(* z_i \leq 1/(\Delta + 1)^{(\ell-1)/k} *)$ 
15: od

```



More technicalities...



2: for $\ell := k - 1$ to 0 by -1 do



How do nodes know when to start the loop ???



What if nodes join in afterwards ???

→ Asynchronous wake-up!

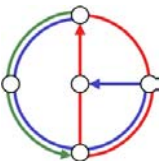
**Paper assumes that there is a global
clock and synchronous wake-up!**



This assumption may make sense in well-established, structured networks,...



...but it is certainly invalid during and shortly after the deployment of ad hoc and sensor networks.

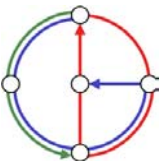


Deployment



- Absence of **a-priori knowledge**
 - Neighbors are unknown
 - Even number of neighbors is unknown
- No **built-in infrastructure**
 - No existing MAC-Layer
 - No reliable point-to-point connections
 - Collisions, Interference...
- **Asynchronous wake-up**

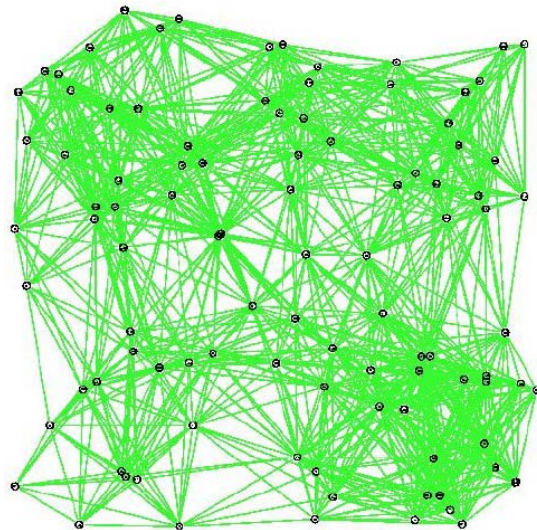
→ Nodes have to structure their network !



Initialization-Gap

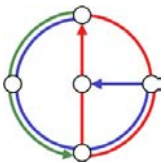
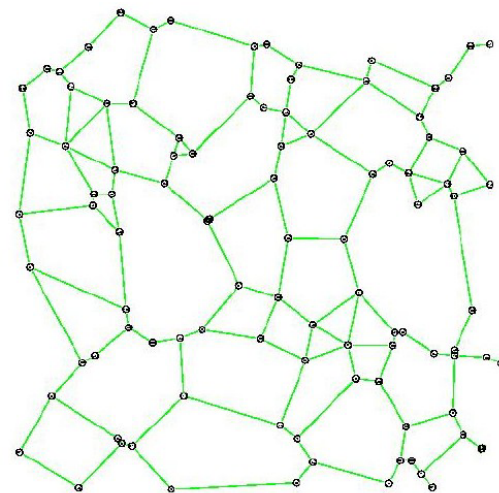


Reality starts
like this:



Self-Organization
„Initialization“

Algorithms
assume this:

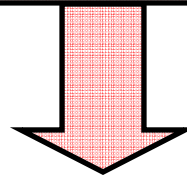


Deployment and Initialization

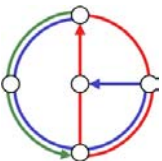


- Initialization in current systems often very slow (Bluetooth)
- Ultimate Goal: Come up with an **efficient MAC-Layer** quickly.
- Theory Goal: Design a **provably fast and reliable initialization** algorithm.

We must make realistic assumptions!



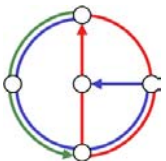
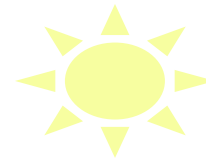
We need to define a **model capturing the characteristics of the **initialization phase**.**



Overview



- Introduction → Initialization-Gap
- **Model**
- Clustering
- Algorithm & Analysis
- Conclusions & Open Problems

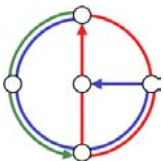
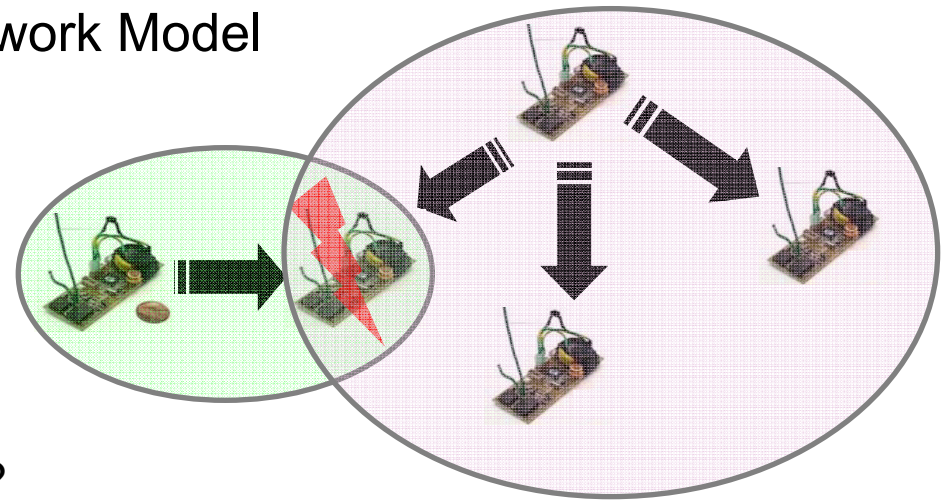


Unstructured Multi-Hop Radio Networks – Model (1)

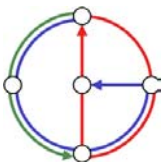
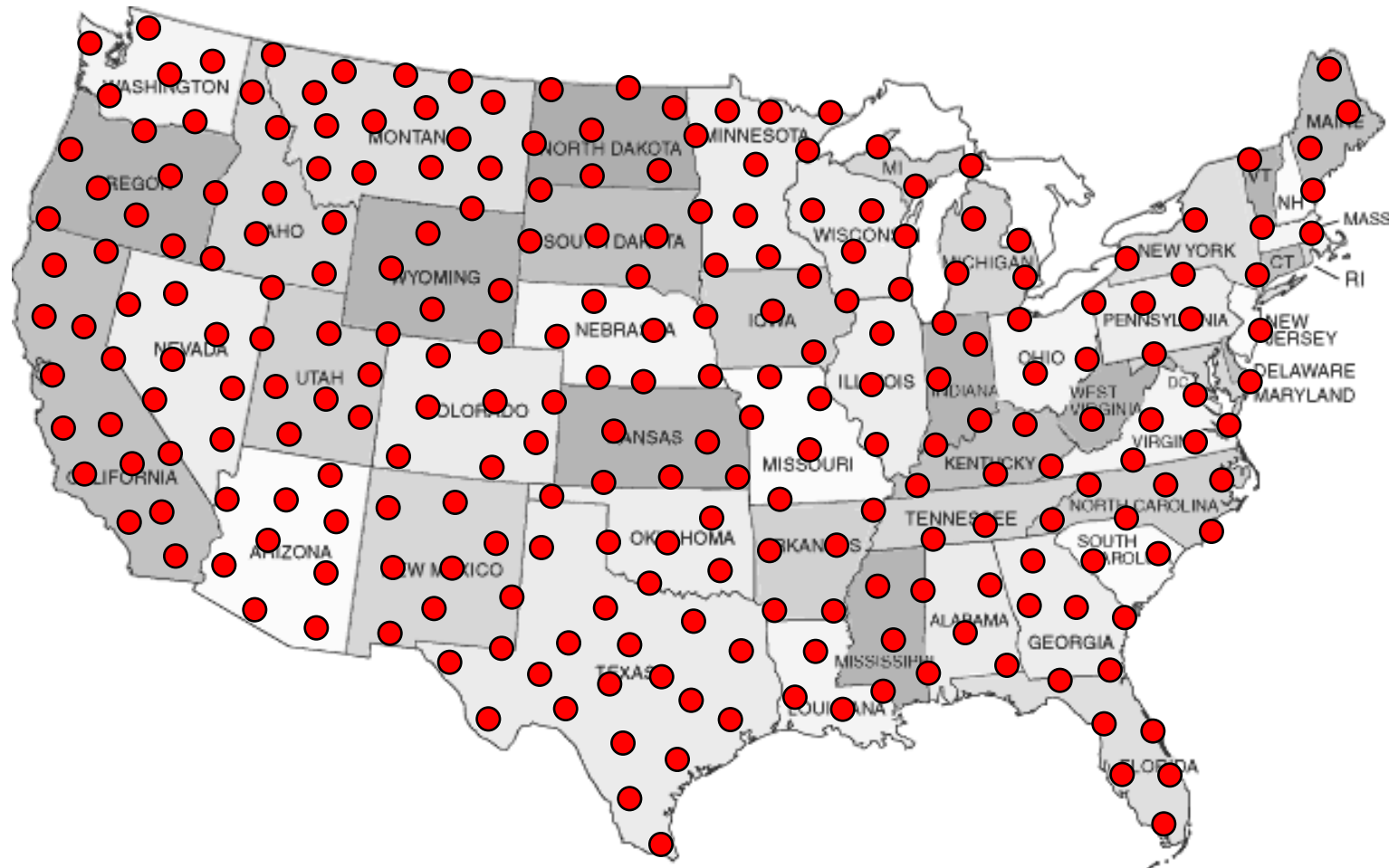


- Introduced by [Kuhn, Moscibroda, Wattenhofer, MOBICOM 2004]
- Adaptation of classic Radio Network Model

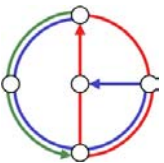
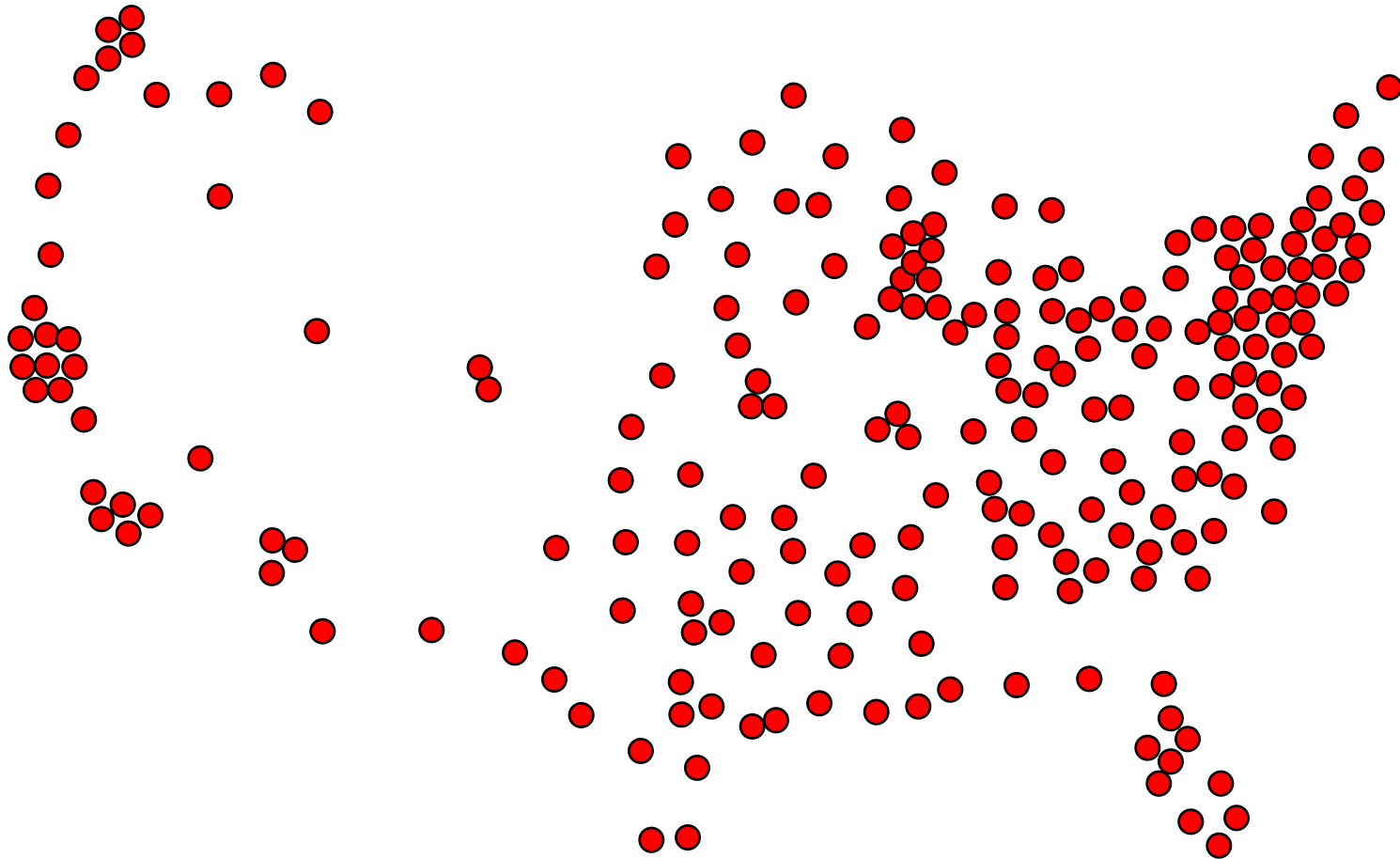
- Multi-Hop
 - Hidden-Terminal Problem
- Node distribution...?
 - How do real networks look like?



Like this?



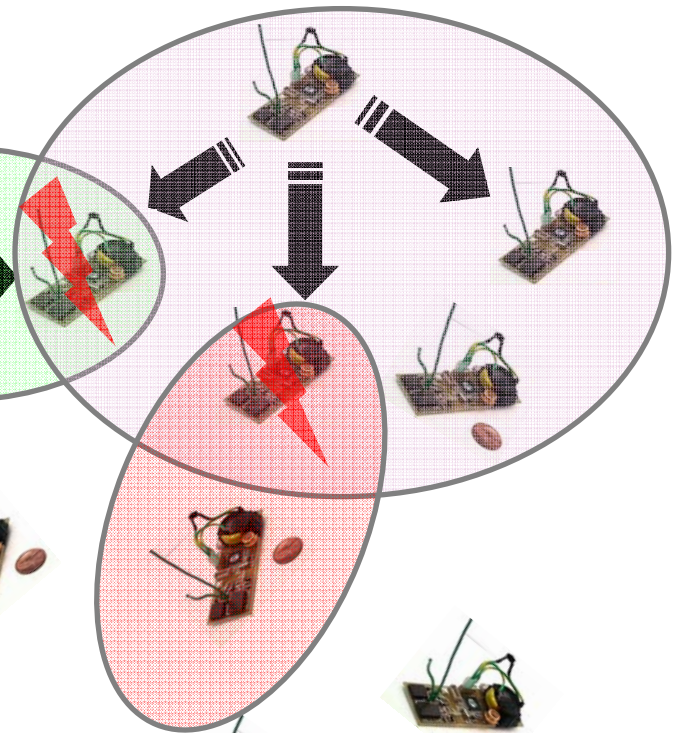
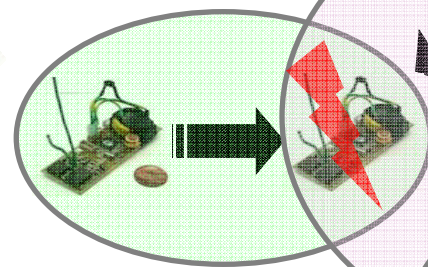
Or rather like this?



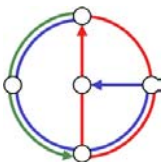
Unstructured Multi-Hop Radio Networks – Model (1)



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- Adaptation of classic Radio Network Model



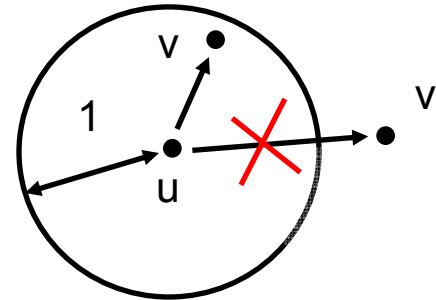
- **Multi-Hop**
 - Hidden-Terminal Problem
- **Arbitrary (worst-case) node distribution**
 - No assumption of uniformity!
- **No collision detection**
 - Not even at the sender!
- **No knowledge** about (the number of) neighbors
- **Asynchronous Wake-Up**
 - No global clock!



Unstructured Multi-Hop Radio Networks – Model (2)



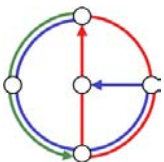
- **Unit Disk Graph (UDG)** to model wireless multi-hop network
 - Two nodes can communicate iff Euclidean distance is at most 1



- **Upper bound** N for number of nodes in network is known
 - This is necessary due to $\Omega(n / \log n)$ lower bound [Jurdzinski, Stachowiak, 2002]

Q: Can we efficiently (and provably!) compute an ~~MAL~~ structure in this model?

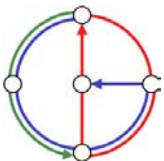
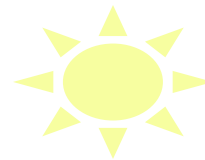
A: Yes, we can!



Overview



- Introduction → Initialization-Gap
- Model
- **Clustering**
- Algorithm & Analysis
- Conclusions & Open Problems

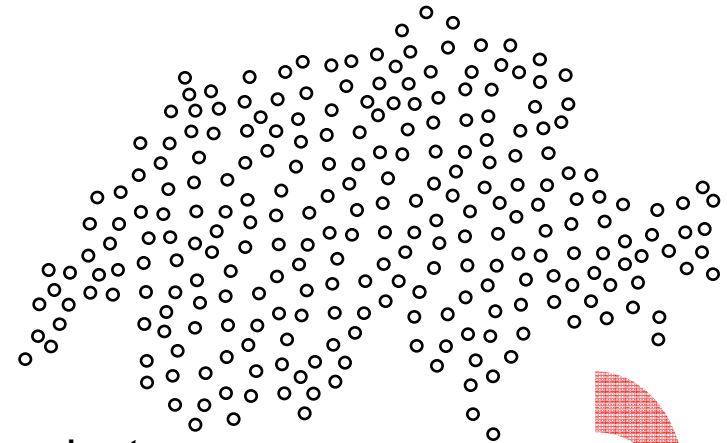


The Importance of Being Clustered...

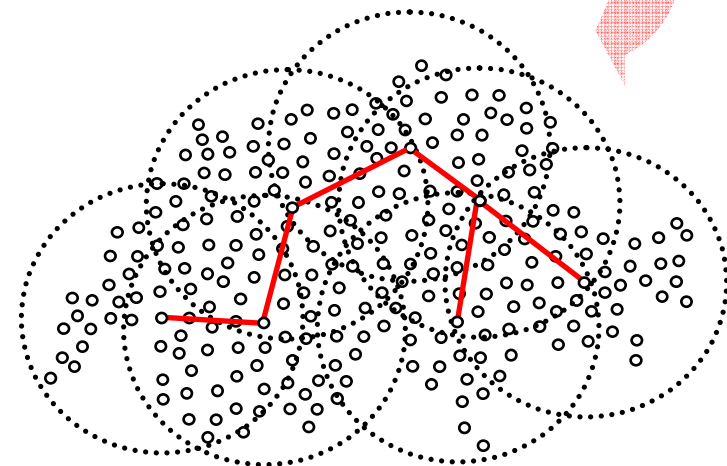


- **Clustering**

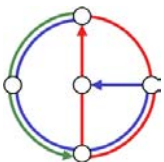
- Virtual Backbone for efficient routing
→ *Connected Dominating Set*
- Improves usage of sparse resources
→ *Bandwidth, Energy, ...*
- Spatial multiplexing in non-overlapping clusters
→ *Important step towards a MAC Layer*



Clustering



**Clustering brings
structure into Chaos!**



Clustering: Dominating Set

- Choose **clusterhead** such that:

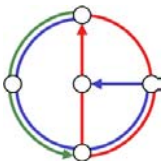
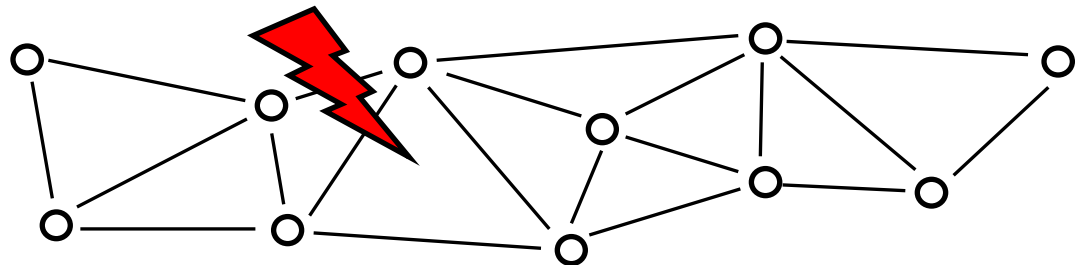
Each node is either a clusterhead or has a clusterhead in its communication range.

- When modeling the network as a graph $G=(V,E)$, this leads to the well-known **Dominating Set** problem.

Dominating Set:

- A **Dominating Set DS** is a subset of nodes such that each node is either in DS or has a neighbor in DS.
- **Minimum Dominating Set MDS** is a DS of minimal cardinality.

**Interference
between
clusterheads**



Clustering – Dominating Set



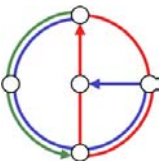
Dominating Set: provides a **good initial structure** !
Can be solved **efficiently** !

→ See our MOBICOM paper...



There may be **interference** between clusterheads!

→ We don't want neighboring clusterheads!



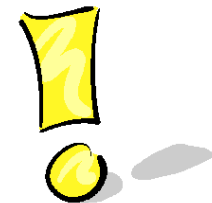
Clustering – Maximal Independent Set



- Choose **clusterhead** such that:
 - 1) *Each node is either a clusterhead or has a clusterhead in its communication range.*
 - 2) *No two clusterheads are within each other's communication range.*



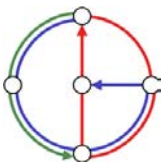
→ This **avoids interference** at clusterheads!



- When modeling the network as a graph $G=(V,E)$, this leads to the **Maximal Independent Set** problem.

Maximal Independent Set:

- A **Maximal Independent Set MIS** is a dominating set where no two dominators are neighbors.



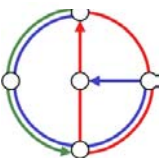
Yet Another Clustering Algorithm...???



Recently, we gave a $O(1)$ approximation algorithm for dominating set in time $O(\log^2 n)$ in the same model.

[Kuhn, Moscibroda, Wattenhofer, MOBICOM 2004]

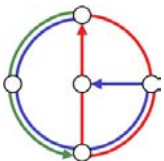
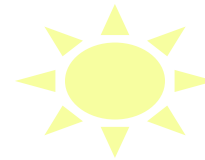
- There are many other **existing clustering algorithms** for ad hoc and sensor networks
 - [Kutten, Peleg, Journal of Algorithms 1998], [Jia, Rajaraman, Suel, PODC 2001]
 - [Gao, et al., SCG 2001], [Wan, Alzoubi, Frieder, INFOCOM 2002 & MOBIHOC 2002]
 - [Chen, Liestman, MOBIHOC 2002], [Kuhn, Wattenhofer, PODC 2003]
 -
- Q: **Why yet another clustering algorithm ?**
- A: Other algorithms - with theoretical worst-case bounds - make too strong assumptions! (see previous slides...)
 - **Not valid during initialization phase!**



Overview



- Introduction → Initialization-Gap
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MIS Algorithm - Results

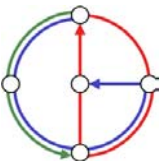


- With three communication channels

**Algorithm computes a correct MIS
in time $O(\log^3 n / \log \log n)$, w.h.p.**

- Idea: Simulate three channels with a single channel.

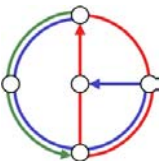
**Algorithm compute a correct MIS
in polylogarithmic time even with a
single communication channel, w.h.p.**



MIS Algorithm – Basic Idea



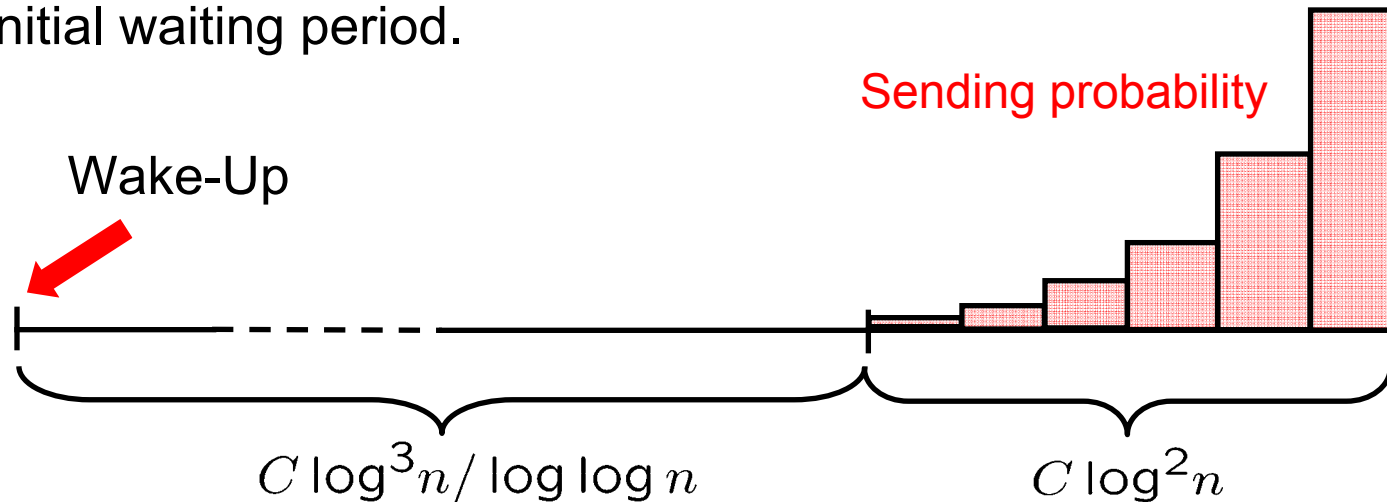
- Use 3 independent communication channels Γ_1 , Γ_2 , and Γ_3 .
→ Then, simulate these channels with a single channel.
- Nodes compete for becoming candidates on Γ_1 .
→ Dominating Set Algorithm → Candidate Election
→ Idea: Reduce number of potential MIS nodes from n to $O(\log^2 n / \log \log n)$ nodes w.h.p.
- Candidates compete for joining the MIS on Γ_2 .
→ MIS Algorithm
- MIS nodes send on Γ_3 .
→ Inform nodes which have woken up late



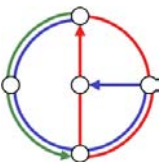
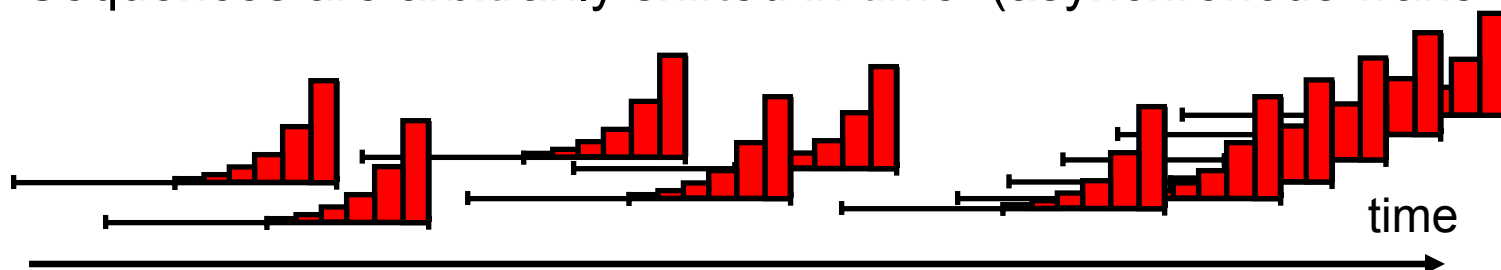
Candidate Election – Basic Structure



- Each node's sending probability increases exponentially after an initial waiting period.



- When sending a message → become **candidate**
- When receiving a message (without collision!) → restart algorithm!
- Sequences are arbitrarily shifted in time (asynchronous wake-up)



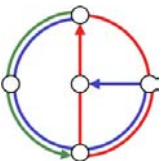
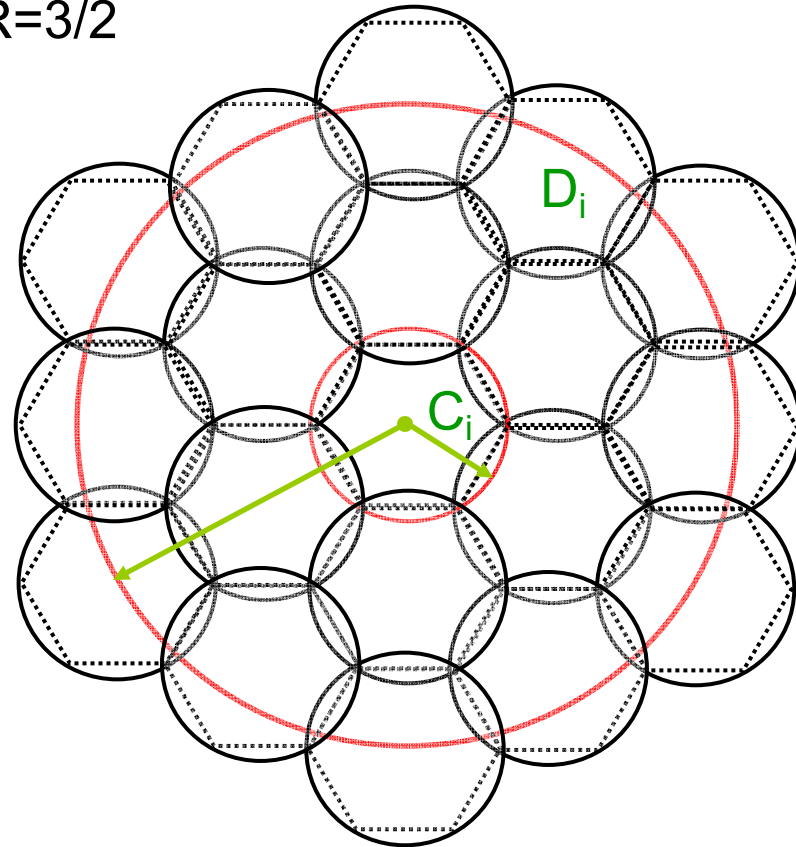
Candidate Election - Analysis

- Cover the plane with (imaginary) circles C_i of radius $r=1/2$
- Let D_i be the circle with radius $R=3/2$

- A node in C_i can hear all nodes in C_i
- Nodes outside of D_i cannot interfere with nodes in C_i

Result:

Algorithm produces at most
 $O(\log^2 n / \log \log n)$
candidates in each C_i w.h.p.



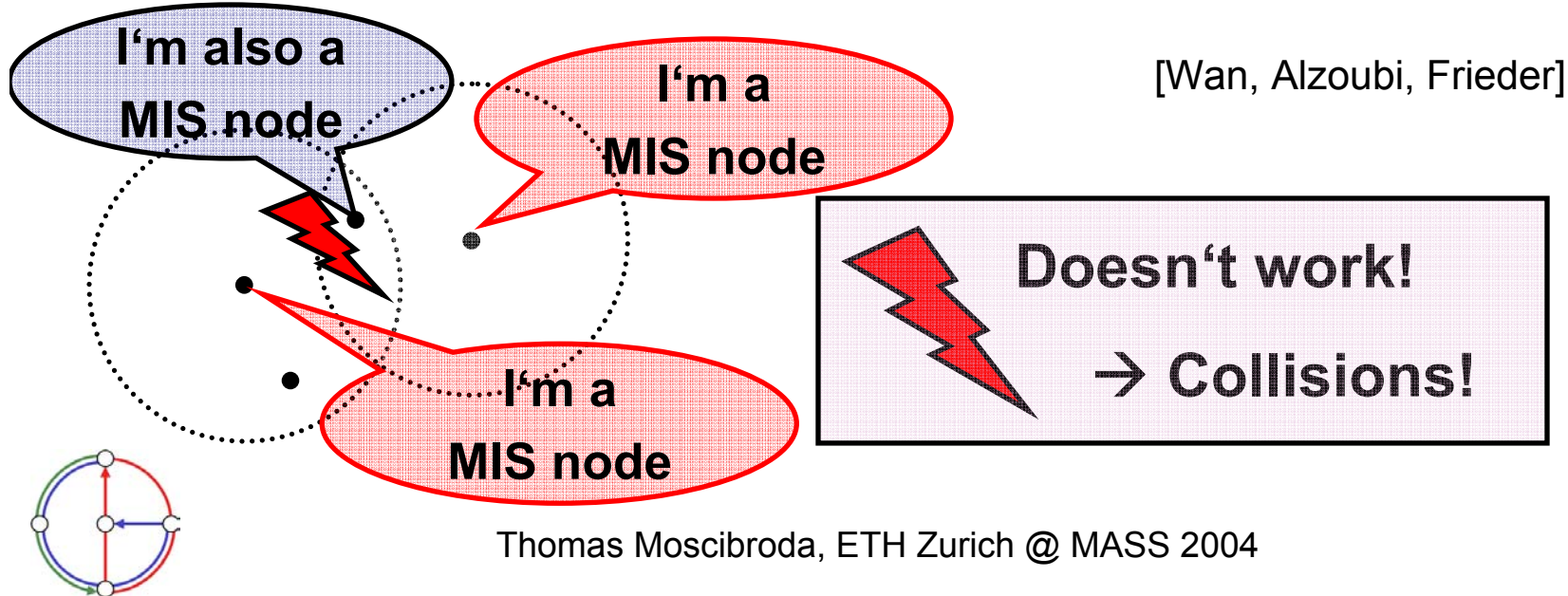
MIS Node Election



- Given the $O(\log^2 n / \log \log n)$ candidates per C_i .
- We want to select MIS nodes from candidates.

1. Simple Idea:

- Each candidate sends with certain probability
- Sender becomes MIS node
- Node that receives message stop competing



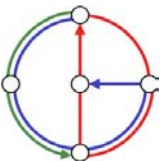
MIS Node Election



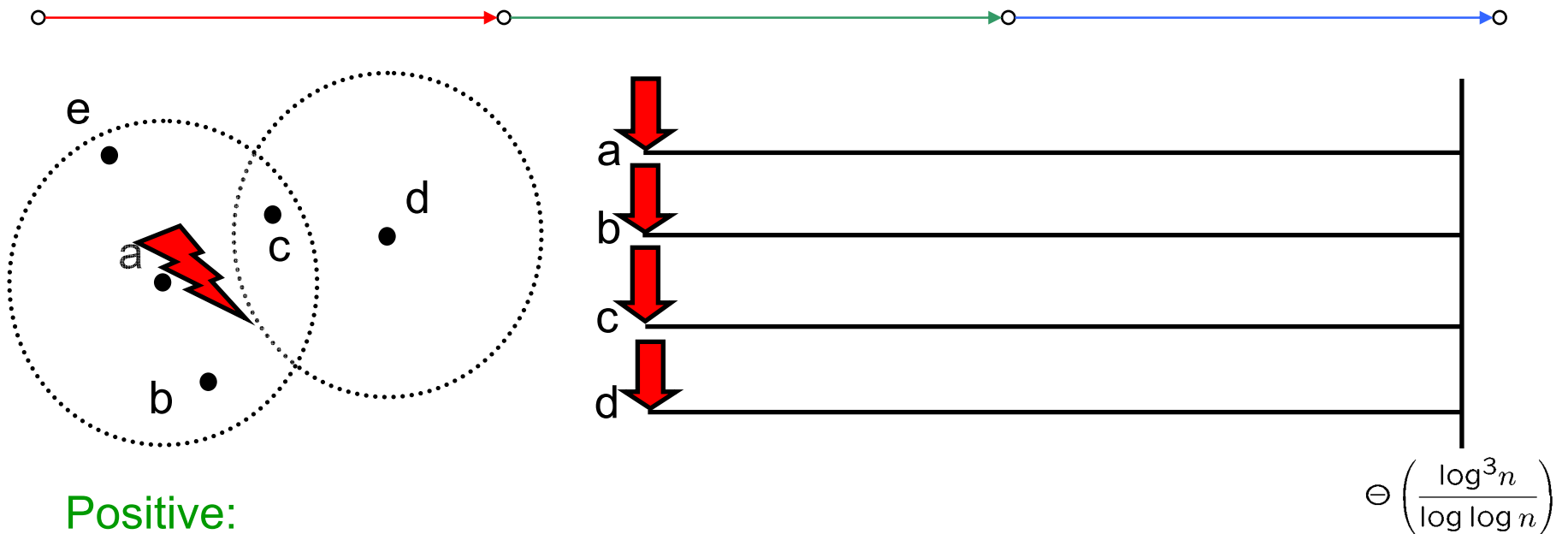
2. Better Idea:

- Each active candidate has counter $c(v)$.
- Active candidates send with prob. $\Theta(\log \log n / \log^2 n)$.
- When sending first time
 - start incrementing counter in each time-slot
- Node that receives message, restart algorithm
- When counter reaches $\Theta(\log^3 n / \log \log n)$
 - join MIS
 - start sending on channel Γ_3 with prob. $1/6$

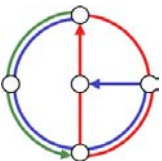
Intuition: Each node hears every other node
(without collision) at least once!



MIS Node Election



Doesn't work!
→ **Slow!**



MIS Node Election

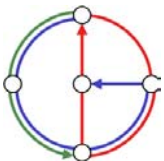
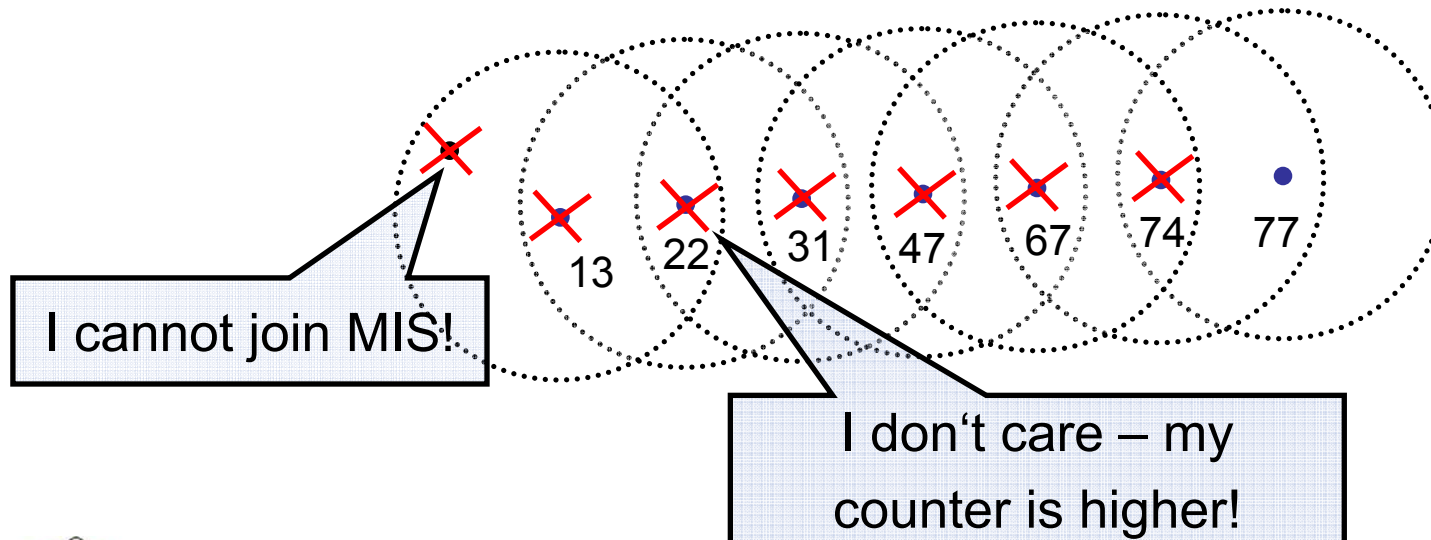


3. Improvement:

- Only nodes with **higher counter value** can „kill“ other active candidates!

Problem : Long cascading chains of restarts...

→ progress still slow in some regions!



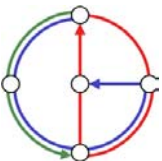
MIS Node Election



- Goal: Every node restarts at most a constant number of times.
 - Must avoid long cascading chains of restarts!
- Intuition: Avoid that two candidates join MIS at the same time!
 - Then MIS nodes send on Γ_3 to inform candidates!

4. New Idea:

- Candidates that receive a message from a candidate with equal counter resets counter to 0.
 - Only candidates with **equal counter** can stop each other.



MIS Node Election



Let t^* be the first time-slot when a candidate appears in C_i .
It can be shown that...

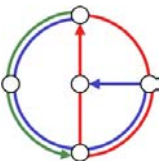
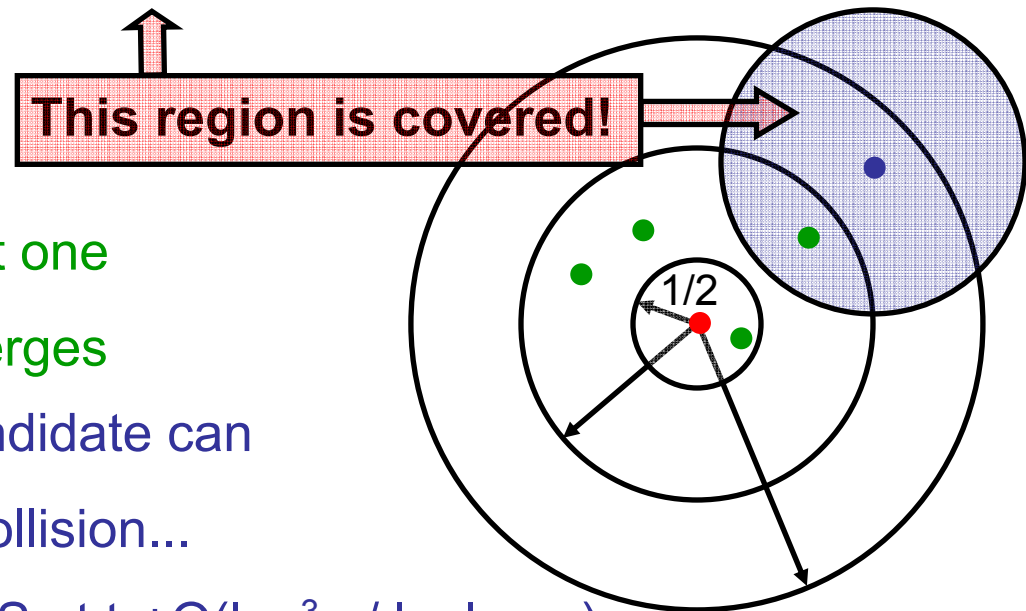
- Within time $t^* + O(\log n)$, a candidate sends without collision!
- After that, this candidate cannot be stopped anymore!
- **Only constant number of restarts!**

t_0 : u wakes up

$t_1 := t_0 + O(\log^2 n)$: at least one
candidate emerges

$t_2 := t_1 + O(\log n)$: one candidate can
send without collision...

... and joins MIS at $t_2 + O(\log^3 n / \log \log n)$



MIS Node Election



Candidates can have counter very close to each other!

When a node joins MIS

→ not enough time to inform neighboring candidates!

→ Feasibility not guaranteed anymore!

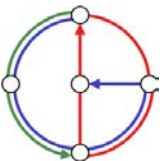
4. Final Idea:

- Candidates that receive a message from a candidate with similar counter resets counter to $-\Theta(\log n)$.
→ Only candidates with **similar counter** (within $\Theta(\log n)$) can stop each other

Enough time to inform neighbors!



This works!

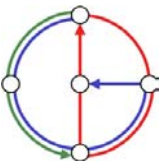


Results



- Some nasty details have been left out...
- Running time of algorithm is $O\left(\frac{\log^3 n}{\log \log n}\right)$
- Solution with a **single channel** is possible within $O(\text{polylog}(n))$.
- Idea:
 - Each node simulates each of its multi-channel time-slots with $O(\text{polylog}(n))$ single-channel time-slots.
 - It can be shown that result remains the same.

**Algorithm compute a correct MIS in
polylogarithmic time even with a
single communication channel, w.h.p.**



Conclusion and Outlook

- **Initialization** of ad hoc and sensor network of great importance!
- Relevant model must be considered!

MOBICOM 2004:

- A model capturing the characteristics of the initialization phase
- A fast algorithm for computing a good dominating set from scratch

In this paper:

- A fast algorithm for computing more sophisticated structures (MIS)



GOAL

A fast algorithm for establishing a MAC Layer from scratch!

