Reward Machines:
Structuring reward function specifications
and reducing sample complexity in
reinforcement learning

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LANGUAGE
Humans have evolved languages over thousands of years to provide useful abstractions for understanding and interacting with each other and with the physical world.

The claim advanced by some is that language influences what we think, what we perceive, how we focus our attention, and what we remember.

While psychologist continue to debate how (and whether) language shapes the way we think, there is some agreement that the alphabet and structure of a language can have a significant impact on learning and reasoning.
We use language to capture our understanding of the world around us, to communicate high-level goals, intentions and objectives, and to support coordination with others.

We also use language to teach – to transfer knowledge.

Importantly, language can provide us with useful and purposeful abstractions that can help us to generalize and transfer knowledge to new situations.

Can exploiting the alphabet and structure of language help RL agents learn and think?
How do we advise, instruct, task, ... and impart knowledge to our RL agents?
Goals and Preferences

• Run the dishwasher when it’s full or when dishes are needed for the next meal.

• Make sure the bath temperature is between 38 – 43 celcius immediately before letting someone enter the bathtub.

• Do not vacuum while someone in the house is sleeping.
Goals and Preferences

• When getting ice cream, please always open the freezer, take out the ice cream, serve yourself, put the ice cream back in the freezer, and close the freezer door.
Linear Temporal Logic (LTL)

A compelling logic to express temporal properties of traces.

Syntax

<table>
<thead>
<tr>
<th>Logic connectives:</th>
<th>LTL basic operators:</th>
<th>Other LTL operators:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\land$, $\lor$, $\neg$</td>
<td>- next: $\bigcirc \varphi$</td>
<td>- eventually: $\lozenge \varphi \overset{\text{def}}{=} \text{true} \lor \varphi$</td>
</tr>
<tr>
<td></td>
<td>- weak next: $\blacklozenge \varphi$</td>
<td>- always: $\square \varphi \overset{\text{def}}{=} \neg \lozenge \neg \varphi$</td>
</tr>
<tr>
<td></td>
<td>- until: $\psi U \chi$</td>
<td>- release: $\psi R \chi \overset{\text{def}}{=} \neg (\neg \psi U \neg \chi)$</td>
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Properties

- Interpreted over **finite** or **infinite** traces.
- Can be transformed into **automata**.
Linear Temporal Logic (LTL)

A compelling logic to express temporal properties of traces.

Syntax

Logic connectives: $\land$, $\lor$, $\neg$

LTL basic operators:

- next: $\bigcirc \varphi$
- weak next: $\bullet \varphi$
- until: $\psi \mathcal{U} \chi$

Other LTL operators:

- eventually: $\Diamond \varphi \overset{\text{def}}{=} \text{true} \mathcal{U} \varphi$
- always: $\Box \varphi \overset{\text{def}}{=} \neg \Diamond \neg \varphi$
- release: $\psi \mathcal{R} \chi \overset{\text{def}}{=} \neg (\neg \psi \mathcal{U} \neg \chi)$

Properties

- Interpreted over finite or infinite traces.
- Can be transformed into automata.
Goals and Preferences

• Do not vacuum while someone is sleeping

always[¬ (vacuum ∧ sleeping)]
Goals and Preferences

• Do not vacuum while someone is sleeping

\[\text{always}[\neg (\text{vacuum} \land \text{sleeping})]\]

• When getting an ice cream for someone ...

\[\text{always}[\text{get(ice-cream)} \rightarrow \]
\[\text{eventually } [\text{open(freezer)} \land \]
\[\text{next[remove(ice-cream,freezer)} \land \]
\[\text{next[serve(ice-cream)} \land \]
\[\text{next[replace(ice-cream,freezer)} \land \]
\[\text{next[close(freezer)]}]]]]]]}
How do we communicate this to our RL agent?
MOTIVATION
Challenges to RL

• **Reward Specification**: It’s hard to define reward functions for complex tasks.

• **Sample Efficiency**: RL agents might require billions of interactions with the environment to learn good policies.
Reinforcement Learning

Agent

Environment
  Transition Function
  Reward Function

Action
Reward
State
Running Example

Task: Visit A, B, C, and D, in order.
These “toy problems” challenge state-of-the-art RL techniques.

**Task:** Visit A, B, C, and D, in order.
Observation: Someone always has to program the reward function ... even when the environment is the real world!
Running Example

Task: Visit A, B, C, and D, in order.

Reward Function
(as part of environment)

```python
count = 0  # global variable
def get_reward(state):
    if count == 0 and state.at("A"):
        count = 1
    if count == 1 and state.at("B"):
        count = 2
    if count == 2 and state.at("C"):
        count = 3
    if count == 3 and state.at("D"):
        count = 0
    return 1
    return 0
```
count = 0  # global variable

def get_reward(state):
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Running Example

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    return 0
```
Running Example

**Reward Function** (as part of environment)

**Task:** Visit A, B, C, and D, in order.
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Reward Function
(as part of environment)
**Task:** Visit A, B, C, and D, in order.
Running Example

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    if count == 3 and state.at("D"):
        count = 0
    return 1
    return 0
```

Reward Function (as part of environment)
Simple Idea:
- Give the agent access to the reward function
- Exploit reward function structure in learning
The agent can exploit structure in the reward function.
Decoupling Transition and Reward Functions

Agent

Environment
Transition Function
Reward Function

Action

Reward

State
Decoupling Transition and Reward Functions
The Rest of the Talk

- Reward Machines (RM)
  - Exploiting RM Structure in Learning
  - Experiments
  - Creating Reward Machines
  - Recap
REWARD MACHINES
Define a Reward Function using a Reward Machine

Encode reward function in an automata-like structure using a vocabulary $P = \{\#, \•, o, *, A, B, C, D\}$
Vocabulary can comprise human-interpretable events/properties realized via detectors over the environment state, or it can (conceivably) be learned.
Reward Machine

Reward Machine
Reward Machine

• finite set of states $U$
Reward Machine

- finite set of states $U$
- initial state $u_0 \in U$
Reward Machine

- finite set of states $U$
- initial state $u_0 \in U$
- set of transitions labelled by:
Reward Machine

• finite set of states \( U \)
• initial state \( u_0 \in U \)
• set of transitions labelled by:
  ▪ A logical condition (guards)
  ▪ A reward function (or constant)

Conditions are over properties of the current state:

\[
P = \{\top, \bot, 0, *, A, B, C, D\}
\]
A Reward Machine is a **Mealy Machine** over the input alphabet $\Sigma = 2^P$, whose output alphabet is a set of Markovian reward functions.
Reward Machines in Action
Reward Machines in Action
Reward Machines in Action

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![Reward Machines Diagram]
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Reward Machines in Action
Reward Machines in Action
Other Reward Machines

**Task:** Deliver coffee to the office, while avoiding furniture.
Other Reward Machines

**Task:** Deliver coffee to the office, while avoiding furniture.
Other Reward Machines

**Task:** Deliver coffee to the office, while avoiding furniture.
Other Reward Machines

**Task:** Deliver coffee and mail to the office.
Other Reward Machines

Task: Deliver coffee and mail to the office.
Other Reward Machines

Task: Deliver coffee and mail to the office.
The Rest of the Talk

- Reward Machines (RM)

► Exploiting RM Structure in Learning

- Experiments
- Creating Reward Machines
- Recap
EXPLOITING RM STRUCTURE IN LEARNING
Methods for Exploiting RM Structure

Baselines based on existing methods:
1. Q-learning over an equivalent MDP (Q-learning)
2. Hierarchical RL based on options (HRL)
3. HRL with RM-based pruning (HRL-RM)

Our approaches:
4. Q-learning for Reward Machines (QRM)
5. QRM + Reward Shaping for Reward Machine (QRM + RS)
1. Q-Learning Baseline

A Reward Machine may define a non-Markovian reward function.
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**Solution:** Include RM state as part of agent’s state representation. Use standard Q-learning on resulting MDP.
2. Option-Based Hierarchical RL (HRL)

Learn one **option policy** for each proposition mentioned in the RM

- RM refers to A, B, C, and D
- Learn policies $\pi_A$, $\pi_B$, $\pi_C$, and $\pi_D$
- Optimize $\pi_i$, to satisfy $i$ optimally
2. Option-Based Hierarchical RL (HRL)

Simultaneously learn when to use each option policy
3. HRL with RM-Based Pruning (HRL-RM)

Prune irrelevant options using current RM state
3. HRL with RM-Based Pruning (HRL-RM)

Prune irrelevant options using current RM state
HRL Methods Can Find Suboptimal Policies

HRL approaches find “locally” optimal solutions.
HRL Methods Can Find Suboptimal Policies

HRL approaches find “locally” optimal solutions.

Optimal solution ($\gamma < 1$)
- 13 total steps
HRL Methods Can Find Suboptimal Policies

HRL approaches find “locally” optimal solutions.

Learns two options:
1. Getting 💡
2. Getting to “o”
4. Q-Learning for Reward Machines (QRM)
4. Q-Learning for Reward Machines (QRM)

QRM (our approach)

1. Learn one policy (q-value function) per state in the Reward Machine.
4. Q-Learning for Reward Machines (QRM)

**QRM (our approach)**

1. Learn one policy (q-value function) per state in the Reward Machine.
2. Select actions using the policy of the current RM state.
4. Q-Learning for Reward Machines (QRM)

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QRM (our approach)

1. Learn one policy (q-value function) per state in the Reward Machine.
2. Select actions using the policy of the current RM state.
3. Reuse experience to update all q-value functions on every transition via off-policy reinforcement learning.

Remember this!
Select an action according to the current RM state.
Update each q-value function as if RM were in corresponding state.
QRM In Action
QRM In Action
QRM In Action

\[
q_0(s, a) \leftarrow 0 + \gamma \cdot \max_{a'} q_0(s', a')
\]
### QRM In Action

#### Grid Representation

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#### Transition Diagram

- **States**: \( u_0, u_1, u_2, u_3 \)
- **Actions**: \( \{q_0, q_1, q_2, q_3\} \)
- **Transitions**:
  - \( u_0 \rightarrow u_1 \): \( q_0 \rightarrow A, 0 \)
  - \( u_0 \rightarrow u_2 \): \( q_1 \rightarrow \neg B, 0 \)
  - \( u_0 \rightarrow u_3 \): \( q_2 \rightarrow C, 0 \)
  - \( u_0 \rightarrow u_2 \): \( q_3 \rightarrow \neg D, 0 \)
  - \( u_2 \rightarrow u_1 \): \( q_1 \rightarrow \neg B, 0 \)
  - \( u_2 \rightarrow u_3 \): \( q_2 \rightarrow C, 0 \)
  - \( u_3 \rightarrow u_1 \): \( q_3 \rightarrow \neg D, 0 \)
  - \( u_3 \rightarrow u_2 \): \( q_0 \rightarrow A, 0 \)
QRM In Action

\[ q_1(s, a) \leftarrow 0 + \gamma \cdot \max_{a'} q_1(s', a') \]
QRM In Action
QRM In Action

\[
q_2(s, a) \leftarrow 0 + \gamma \cdot \max_{a'} q_2(s', a')
\]
QRM In Action

\[ S' \]

\[
\begin{array}{ccc}
B & * & * & C \\
* & o & * & * \\
A & * & * & a \\
\end{array}
\]
QRM In Action

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QRM In Action

$q_3(s, a) \leftarrow 1 + \gamma \cdot \max_{a'} q_0(s', a')$
QRM In Action

\[ q_3(s, a) \leftarrow 1 + \gamma \cdot \max_{a'} q_0(s', a') \]
Recall: Methods for Exploiting RM Structure

Baselines based on existing methods:
1. Q-learning over an equivalent MDP (Q-learning)
2. Hierarchical RL based on options (HRL)
3. HRL with RM-based pruning (HRL-RM)

Our approaches:
4. Q-learning for Reward Machines (QRM)
5. QRM + Reward Shaping for Reward Machine (QRM + RS)
5. QRM + Reward Shaping (QRM + RS)

**Reward Shaping Intuition:** Some reward functions are easier to learn policies for than others, even if those functions that have the same optimal policy.

Given any MDP and potential function $\Phi : \mathcal{S} \rightarrow \mathbb{R}$, changing the reward function of the MDP to:

$$r'(s, a, s') = r(s, a, s') + \gamma \Phi(s') - \Phi(s)$$

will not change the set of optimal policies.

Thus, if we find a function that also allows us to learn optimal policies more quickly, we are guaranteed that the found policies are still optimal with respect to the original reward function.

[Ng, Harada, Russell, 1999]
5. QRM + Reward Shaping (QRM + RS)

QRM + RS (our approach)

1. Treat the RM itself as an MDP and perform value iteration over the RM.
2. Apply QRM to the shaped RM
Optimality of QRM and QRM + RS

**Theorem:** QRM converges to the optimal policy in the limit, as does QRM + RS.
The Rest of the Talk

- Reward Machines (RM)
- Exploiting RM Structure in Learning
- Experiments
- Creating Reward Machines
- Concluding Remarks
EXPERIMENTS
Test Domains

- Two domains with a discrete action and state-space
  - Office domain (4 tasks)
  - Craft domain (10 tasks)

- One domain with a continuous state-space
  - Water World domain (10 tasks)
Test in Discrete Domains

Tested all five approaches

1. Q-learning over an equivalent MDP (Q-learning)
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<tr>
<td>QRM + RS</td>
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Office World Experiments

4 tasks, 30 independent trials per task
Office World Experiments

4 tasks, 30 independent trials per task
Minecraft World Experiments

10 tasks over 10 random maps, 3 independent trials per combination

Tasks from Andreas et al. (ICML 2017)
Minecraft World Experiments

10 tasks over 10 random maps, 3 independent trials per combination

Tasks from Andreas et al. (ICML 2017)
Function Approximation with QRM

From tabular QRM to Deep QRM

• Replace Q-learning by Double DQN (DDQN) with prioritized experience replays

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Water World Experiments

10 tasks over 10 random maps, 3 independent trials per combination
Water World Experiments

10 tasks over 10 random maps, 3 independent trials per combination
QRM + Reward Shaping (QRM + RS)

Discount factor $\gamma$ of 0.9 and exploration constant $\epsilon$ of 0.1
The Rest of the Talk

- Reward Machines (RM)
- Exploiting RM Structure in Learning
- Experiments
- Creating Reward Machines
- Recap
CREATING REWARD MACHINES
Creating Reward Machines

Where do Reward Machines come from?

1. Specify RM
   - Directly
   - Via automatic translation from specifications in various languages

2. Generate RM from high-level goal specifications

3. Learn RM
1. **Reward Specification:** one size does *not* fit all

Do not need to specify Reward Machines directly.

Reward Machines are a form of Mealy Machine.

Specify reward-worthy behavior in **any formal language that is translatable to finite-state automata.**
1. Construct Reward Machine from Formal Languages

Reward Machines serves as a **lingua franca** and provide a **normal form representation** for the reward function that **supports reward-function-tailored learning**.

---

[Camacho, Toro Icarte, Klassen, Valenzano, M., IJCAI19]
1. Construct Reward Machine from Formal Languages

Reward Machines serve as a lingua franca and provide a normal form representation for the reward function that supports reward-function-tailored learning.

- Regular Expressions
- LTL dialects, LTL$_f$, PLTL, ...
- Golog
- LDL dialects, LDL$_f$
- LTL-RE

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- Reward shaping
- Future RM-based algorithms

[Camacho, Toro Icarte, Klassen, Valenzano, M., IJCAI19]
2. Generate RM using a Symbolic Planner

- Employ an explicit high-level model to describe abstract actions (options)
- Employ symbolic planning to generate RMIs corresponding to high-level partial-order plans
- Use these abstract solutions to guide an RL agent

[Illanes, Yan, Toro Icarte, M., RLDM19]
3. Learn RMs for Partially-Observable RL

**Problem:** Find a policy that maximizes the external reward given by a partially observable environment

**Assumptions:** Agent has a set of high-level binary classifiers/event detectors (e.g., button-pushed, cookies, etc.)

**Key Insight:** Learn an RM such that its *internal state can be effectively used as external memory* by the agent to solve the task.

**Approach:** Discrete Optimization via Tabu Search
3. Learn RMs for Partially-Observable RL

**Problem:** Find a policy that maximizes the external reward given by a partially observable environment.

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**Key Insight:** Learn an RM such that its internal state can be effectively used as external memory by the agent to solve the task.

**Approach:** Discrete Optimization via Tabu Search.

These “toy problems” cannot be solved by A3C, PPO, and ACER with LSTMs.
3. Learn Reward Machines (LRM)

More **human interpretable** concept of what the agent is trying to do

[Toro Icarte; Waldie; Klassen; Valenzano; Castro; M, NeurIPS 2019]
3. Learn Reward Machines (LRM)

[Good Results!]

[Toro Icarte, Waldie, Klassen, Valenzano, Castro, M, NeurIPS 2019]
RECAP
Can exploiting the alphabet and structure of language help RL agents learn and think?
count = 0  # global variable

def get_reward(state):
    if count == 0 and state.at("A"):
        count = 1
    if count == 1 and state.at("B"):
        count = 2
    if count == 2 and state.at("C"):
        count = 3
    if count == 3 and state.at("D"):
        count = 0
    return 1
    return 0

Key Insight: Reveal Reward Function to the Agent
**Key Insight:** Reveal Reward Function to the Agent

```python
count = 0  # global variable
def get_reward(s):
    if count == 0 and state.at("A"):
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    if count == 1 and state.at("B"):
        count = 2
    if count == 2 and state.at("C"):
        count = 3
    if count == 3 and state.at("D"):
        count = 0
    return 1
    return 0
```
Contributions

• **Reward Machines (RMs):** An automata-based structure that can be used to define reward functions.
• **QRM:** An RL algorithm that exploits an RM’s structure

  [Camacho, Toro Icarte, Klassen, Valenzano, McIlraith, *ICML* 2018]

• **QRM+RS:** Automated RM-based reward shaping
• **Translation to RM from other languages:** RMs as a normal form representation for reward functions

  [Camacho, Toro Icarte, Klassen, Valenzano, McIlraith, *IJCAI* 2019]

• **LRM:** learning RMs from experience in partially observable environments

  [Toro Icarte, Waldie, Klassen, Valenzano, Castro, McIlraith, *NeurIPS* 2019]
Great Results in Discrete Domains

QRM outperforms HRL and standard Q-learning in two domains

Legend:
- Q-Learning
- HRL
- HRL-RM
- QRM
...and in Continuous Domains

... and is also effective when combined with deep learning
We can construct RMs from a diversity of formal languages ...

Regular Expressions
LTL dialects, LTL$_f$, PLTL, ...
Golog
LDL dialects, LDL$_f$
LTL-RE

DFA → RM
→ QRM
→ Reward shaping
→ Future RM-based algorithms
...and they can be learned in partially observable environments to solve hard problems
Using Reward Machines for High-Level Task Specification and Decomposition in Reinforcement Learning
Toro Icarte, Klassen, Valenzano, McIlraith
ICML 2018
Code: https://bitbucket.org/RToroIcarte/qrm

Teaching Multiple Tasks to an RL Agent using LTL
Toro Icarte, Klassen, Valenzano, McIlraith
AAMAS 2018 & NeurIPS 2018 Workshop (Learning by Instructions)
Code: https://bitbucket.org/RToroIcarte/lpopl

LTL and Beyond: Formal Languages for Reward Function Specification in Reinforcement Learning
Camacho, Toro Icarte, Klassen, Valenzano, McIlraith
IJCAI 2019

Learning Reward Machines for Partially Observable Reinforcement Learning
Toro Icarte, Waldie, Klassen, Valenzano, Castro, McIlraith
NeurIPS 2019
Other related work

Advice-Based Exploration in Model-Based Reinforcement Learning.
Toro Icarte, Klassen, Valenzano, McIlraith
Canadian AI 2018.
Linear temporal logic (LTL) formulas and a heuristic were used to guide exploration during reinforcement learning.

Non-Markovian Rewards Expressed in LTL: Guiding Search Via Reward Shaping (Extended Version)
Camacho, Chen, Sanner, McIlraith
Extended Abstract: SoCS 2017, RLDM 2017
Linear temporal logic (LTL) formulas are used to express non-Markovian reward in fully specified MDPs. LTL is translated to automata and reward shaping is used over the automata to help solve the MDP.