

# Conversations with Data

## Toward more Interactive Natural Language Interfaces

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# Language is a Universal Interface

AFIPS '73

## Progress in natural language understanding—An application to lunar geology

by W. A. WOODS

*Bolt Beranek and Newman Inc.*  
Cambridge, Mass.

### INTRODUCTION

The advent of computers (see e.g., Ornstein et al., 1972) offers an opportunity for access to different computer facilities. The expectations of a day in the life of a scientist rather than an exception, is to take to use a computer whose languages, form him. In this foreseeable future, a number of different languages a scientist would have to use, much greater than that of his local computing center. Adequate assistance is provided by Natural Language Processing, hereafter referred to as NLP. A system to deal with the NLP problem by adapting ordinary natural language to the machine

*English as a query language*

## SEVEN STEPS TO RENDEZVOUS WITH THE CASUAL USER

by

E. F. Codd  
IBM Research Laboratory  
San Jose, California

TODS '74

## Developing a Natural Language Interface to Complex Data

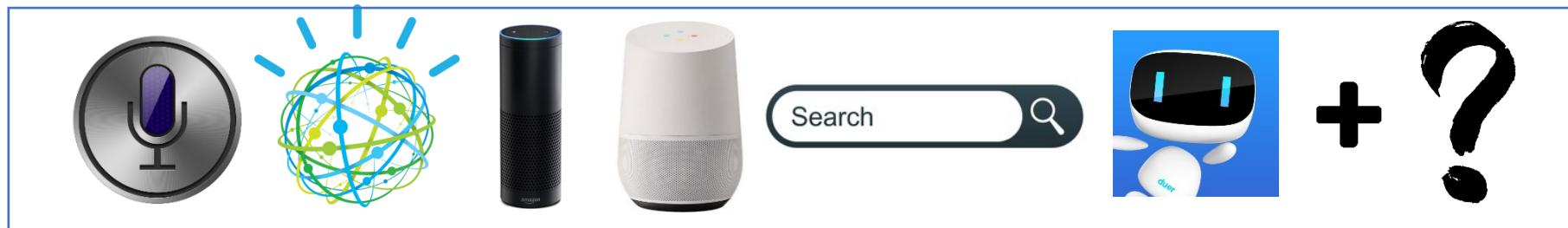
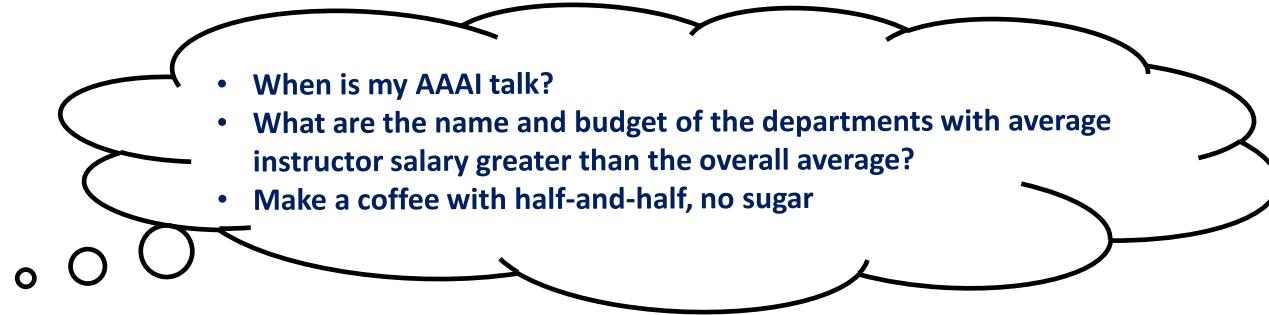
ABSTRACT:  
data base  
presently  
active, but

GARY G. HENDRIX, EARL D. SACERDOTI, DANIEL SAGALOWICZ,  
AND JONATHAN SLOCUM

SPRINGER-VERLAG

TODS '78

# Natural language interface: One interface for all



# Why Now?

- Bigger Opportunity
  - Massiveness and heterogeneity of data and accelerated digitization resulting in increasing need for improved *digital enablement*
- Better Technology
  - Advances in deep learning and program synthesis and availability of compute and benchmarks
- Growing Applications
  - Virtual assistants, language to code, NL search, database QA, etc.

# NLIs and Digital Enablement



SQL

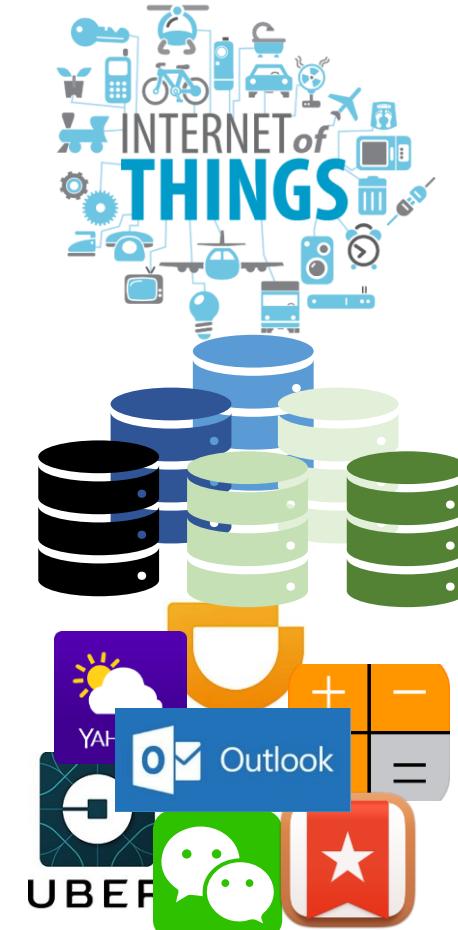


{ API }

When is my next meeting with  
Mike on marketing strategy

Show me the paper Susan sent  
me last week

Show me all high priority open  
bugs for Project Florence

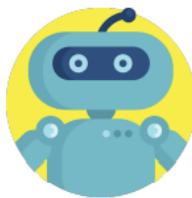


# Semantic Parsing

NL2SQL



Find all locations whose name contains the word “film”

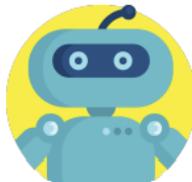


SELECT Address FROM Locations WHERE Location\_Name  
LIKE "%film%";

NL2API



Show me the latest unread messages about AAAI workshop



GET messages? filter=isRead eq false & \$search=“AAAI workshop”  
& orderby=receivedDateTime desc

# Beyond one-shot Semantic Parsing



Show me the latest messages about AAAI workshop that I haven't read



Correction



I want only the messages marked as unread



Follow-up/  
decomposition



Were any of them sent by John?



Do you mean John A. or John B.?



Clarification



I meant John B

# Beyond one-shot Semantic Parsing



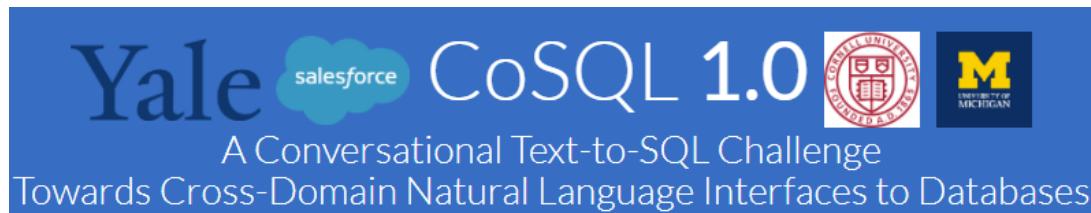
Richer Contextual Representations



Richer Models of User Interactions

# Richer Contextual Representations

Conversational Semantic Parsing (CSP) is the task of converting a sequence of natural language queries to formal language



**MultiWOZ - A Large-Scale Multi-Domain Wizard-of-Oz Dataset for Task-Oriented Dialogue Modelling**

Pawel Budzianowski<sup>1</sup>, Tsung-Hsien Wen<sup>2\*</sup>, Bo-Hsiang Tseng<sup>1</sup>,  
Iñigo Casanueva<sup>2\*</sup>, Stefan Ultes<sup>1</sup>, Osman Ramadan<sup>1</sup> and Milica Gašić<sup>1</sup>  
<sup>1</sup>Department of Engineering, University of Cambridge, UK,  
<sup>2</sup>PolyAI, London, UK

## Microsoft Research Sequential Question Answering (SQA) Dataset

Recent work in semantic parsing for question answering has focused on long and complicated questions, many of which would seem unnatural if asked in a normal conversation between two humans. In an effort to explore a conversational QA setting, we present a more realistic task: answering sequences of simple but inter-related questions. We created SQA by asking crowdsourced workers to decompose 2,022 questions

**SParC:**  
Sequential Text-to-SQL

**CoSQL:**  
Conversational Text-to-SQL

**MultiWOZ:**  
Task-oriented Dialogue

**SQA:**  
Weakly supervised Table QA

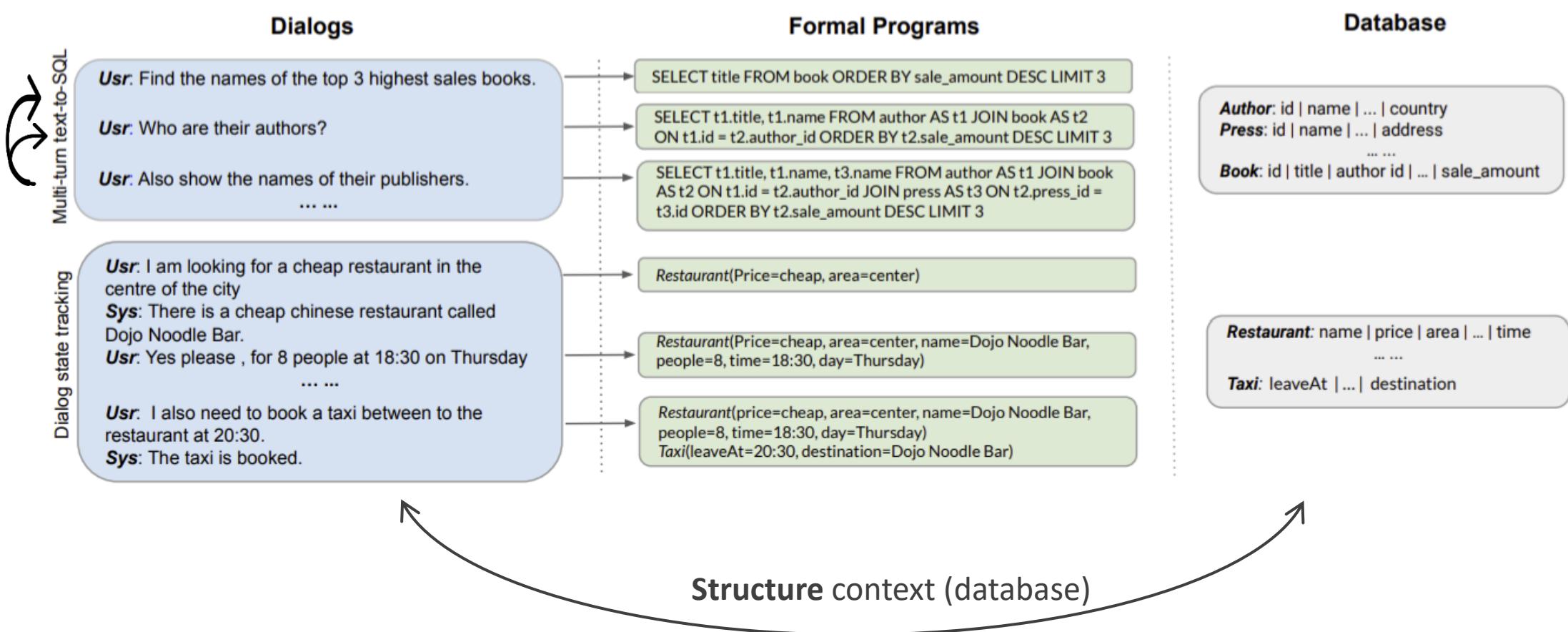
## Multiple tasks, shared challenges

- Requires lots of annotated data
- Annotation is expensive, hard to collect and not always of good quality
- Learning to represent sequential (conversation) and structure (ontology) contexts is hard

# Conversational Semantic Parsing

**Challenge:** representation context with respect to the sequence of utterances (conversation) and the structure of the underlying ontology (database)

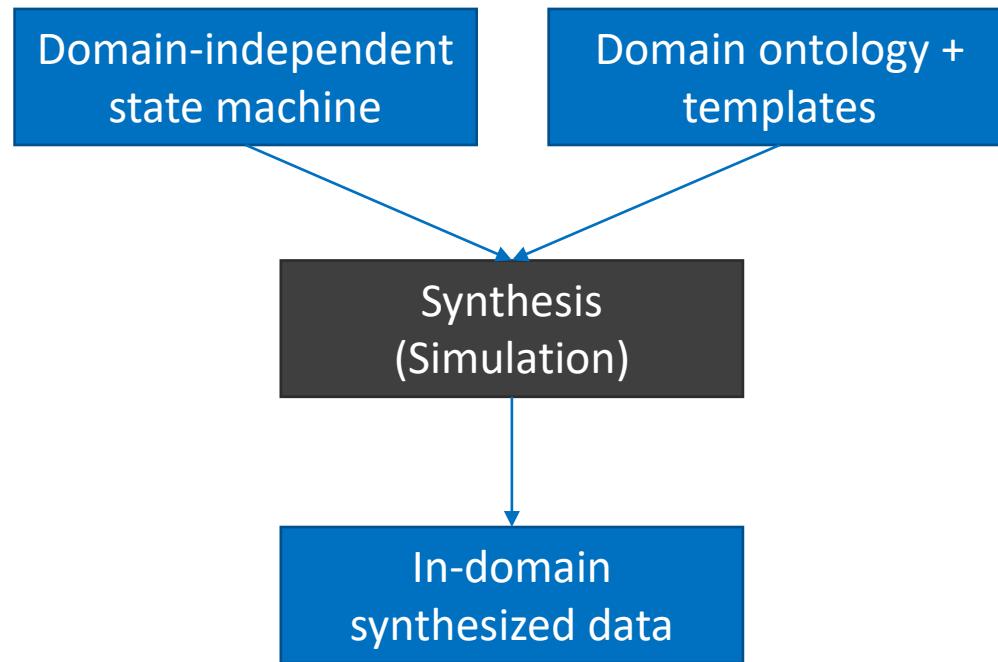
Sequential context (conversation)



## Pre-training for Context Representation

1. Focus on pretraining, not finetuning (exploit shared characteristics).
2. CSP-specific objectives.
3. Pretrain *only* on synthetic data, MLM *only* on natural data.

# Synthetic Data Generation



Total of 120k synthetic task-oriented dialogues

S: START  
U: Can you help with information regarding a food place?  
I need to book at 15:45.  
S: SEARCHREQUEST restaurant(book\_time = "15:45")  
A: How about the restaurant with name La Tasca and  
Italian food?  
U: Can you find something which serves seafood?  
S: SEARCHREQUEST restaurant(book\_time = "15:45",  
food = "seafood")  
A: What date are you looking for?  
U: Thursday please.  
S: SEARCHREQUEST restaurant(book\_time = "15:45",  
food = "seafood", book\_day = "thursday")  
A: How about the Copper Kettle? It is a food place with  
seafood food.  
U: What is the price range and the area?  
S: SLOTQUESTION restaurant(book\_time = "15:45",  
food = "seafood", book\_day = "thursday",  
price\_range = ?, area = ?)  
A: The Copper Kettle is a moderately priced restaurant in  
the north of the city. Would you like a reservation?  
U: No, thanks.  
S: CLOSE restaurant(book\_time = "15:45",  
food = "seafood", book\_day = "thursday")

# Synthetic Data Generation

| Turn # | Question-SQL Template   | Synthesized Question-SQL  |
|--------|---|---|
| 1      | “Find the number of TABLE0 with COLUMN0 OP0 VALUE0”<br>SELECT COUNT(*) ORDER BY COLUMN0 OP0<br>VALUE0 | “Find the number of football team with team hometown is not murrieta, california?”<br>SELECT COUNT(*) WHERE TEAM_HOMETOWN != “MURRIETA, CALIFORNIA”         |
| 2      | “Can you give me their COLUMN1?”<br>TCS: REPLACE(SELECT.COLUMN0),<br>DEL(SELECT.AGG)                  | “Can you give me their football team player?”<br>SELECT FOOTBALL_TEAM_PLAYER WHERE TEAM_HOMETOWN<br>!= “MURRIETA, CALIFORNIA”                               |
| 3      | “How about only show those with AS0 COLUMN2?”<br>TCS: ADD(ORDERBY_AS0.COLUMN2)                        | “How about only show those with the largest age?”<br>SELECT FOOTBALL_TEAM_PLAYER WHERE TEAM_HOMETOWN<br>!= “MURRIETA, CALIFORNIA” ORDER BY AGE DESC LIMIT 1 |
| 4      | “AS1?”<br>TCS: REPLACE(ORDERBY_AS1.COLUMN2)   | “The smallest?”<br>SELECT FOOTBALL_TEAM_PLAYER WHERE TEAM_HOMETOWN<br>!= “MURRIETA, CALIFORNIA” ORDER BY AGE AS LIMIT 1                                     |

Created a total of 435k text-to-SQL conversations based on 400K tables in WikiTABLES

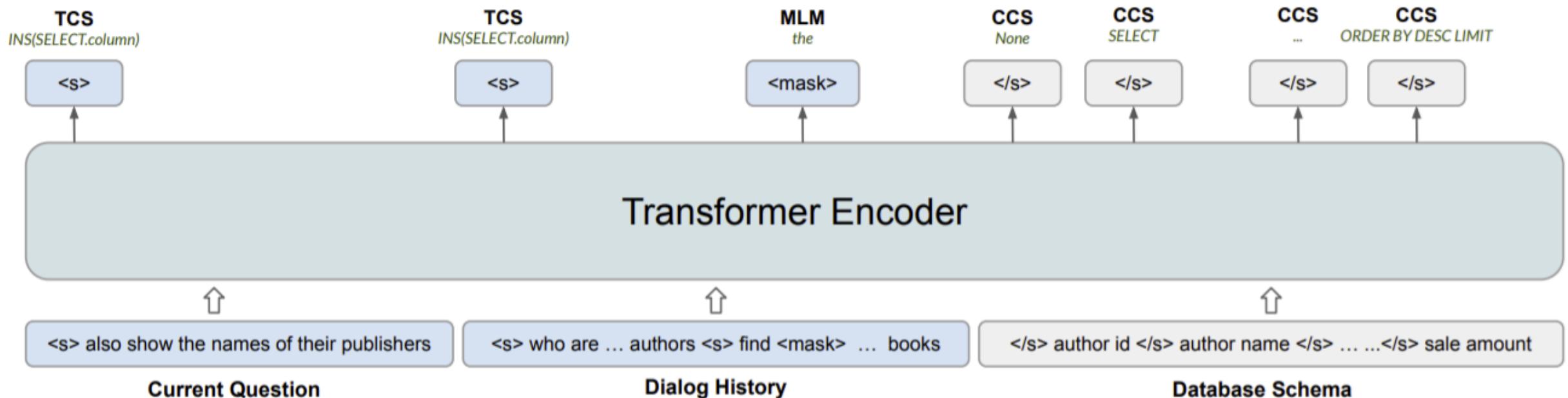
# Pre-Training Objectives

## Turn Contextual Switch (TCS):

- Aims to represent *diff* between the current and previous SQL

## Column Contextual Semantics (CCS):

- *Aims to represent the operation* expected on each schema item



# Significant improvement over all baselines, 3 SOTA results

**Sequential Text-to-SQL  
(SPARC)**

**Conversational Text-to-SQL  
(CoSQL)**

| Models                               | SPARC       |             |             |             | CoSQL       |             |             |             |
|--------------------------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
|                                      | Dev         |             | Test        |             | Dev         |             | Test        |             |
|                                      | QM          | IM          | QM          | IM          | QM          | IM          | QM          | IM          |
| SyntaxSQL (Yu et al., 2018a)         | 18.5        | 4.3         | 20.2        | 5.2         | -           | -           | 14.2        | 2.2         |
| GAZP + BERT (Zhong et al., 2020)     | 48.9        | 29.7        | 45.9        | 23.5        | 42.0        | 12.3        | 39.7        | 12.8        |
| EditSQL + BERT (Zhang et al., 2019b) | 47.2        | 29.5        | 47.9        | 25.3        | 39.9        | 12.3        | 40.8        | 13.7        |
| IGSQL + BERT                         | 50.7        | 32.5        | 51.2        | 29.5        | 44.1        | 15.8        | 42.5        | 15.0        |
| R <sup>2</sup> SQL + BERT            | -           | -           | 55.8        | 30.8        | -           | -           | 46.8        | 17.0        |
| RAT-SQL + BERT (Wang et al., 2019)   | 56.8        | 33.4        | -           | -           | 48.4        | 19.1        | -           | -           |
| + RoBERTa                            | 58.2        | 36.7        | -           | -           | 50.1        | 19.3        | -           | -           |
| + SCoRE                              | <b>62.2</b> | <b>42.5</b> | <b>62.4</b> | <b>38.1</b> | <b>52.1</b> | <b>22.0</b> | <b>51.6</b> | <b>21.2</b> |

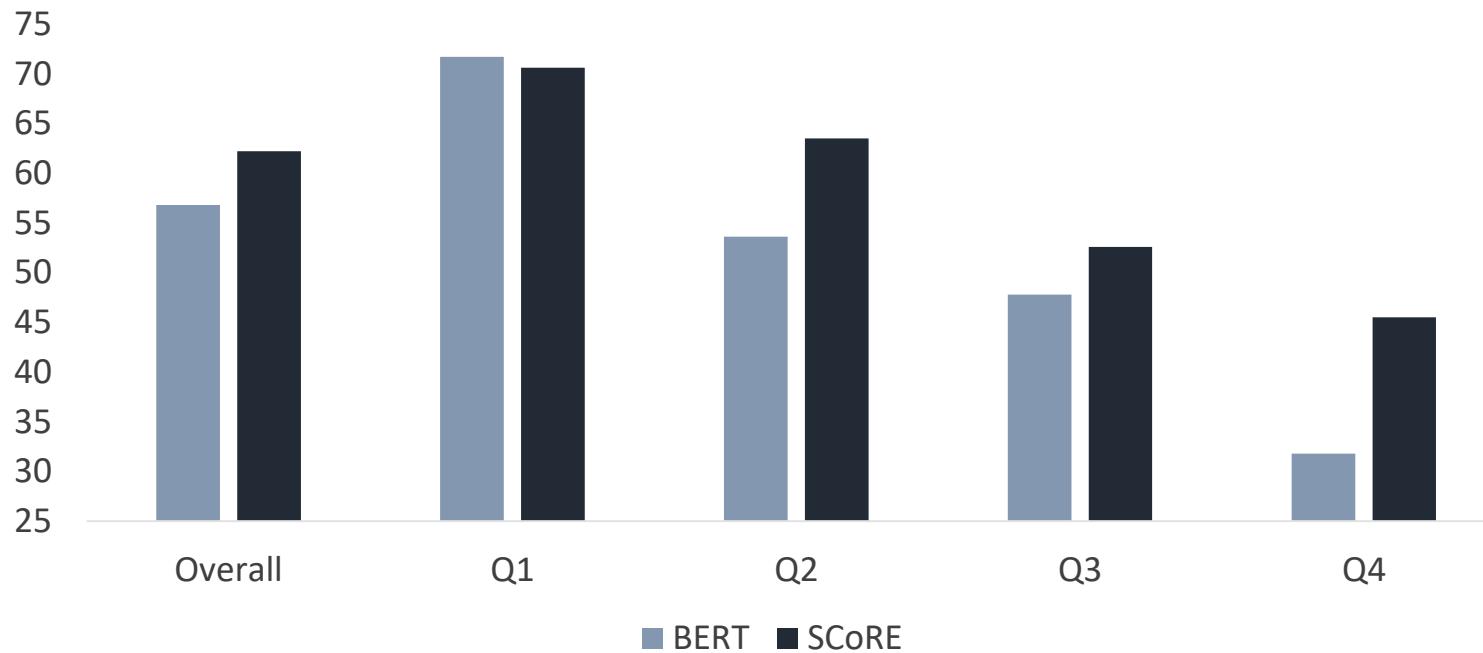
**Sequential Question Answering (SQA)**

| Models                       | SQA         |             |
|------------------------------|-------------|-------------|
|                              | QM          | IM          |
| Iyyer et al. (2017)          | 44.7        | 12.8        |
| Sun et al. (2019a)           | 45.6        | 13.2        |
| Müller et al. (2019)         | 55.1        | 28.1        |
| Herzig et al. (2020b)        | <b>67.2</b> | <b>40.4</b> |
| Wang et al. (2019) + RoBERTa | 62.8        | 33.2        |
| with 10% training data       | 53.3        | 21.2        |
| Wang et al. (2019) + SCoRE   | 65.4        | 38.1        |
| with 10% training data       | 57.1        | 26.1        |

**Dialog State Tracking (MultiWOZ 2.1)**

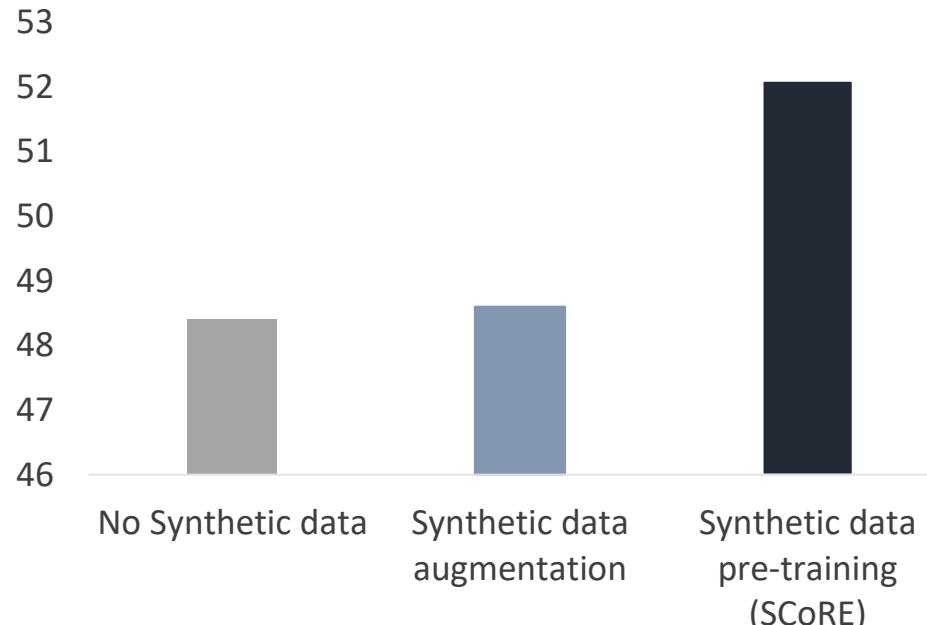
| Models        | MultiWOZ 2.1 |
|---------------|--------------|
| DS-DST        | 51.21        |
| SOM-DST       | 52.57        |
| DS-picklist   | 53.30        |
| TripPy        | 55.29        |
| SimpleToD     | 55.72        |
| TripPy (ours) | 58.37        |
| + SCoRE       | <b>60.48</b> |

# Accuracy significantly improves on every turn except the first (in which the task is effectively a single-turn semantic parsing)



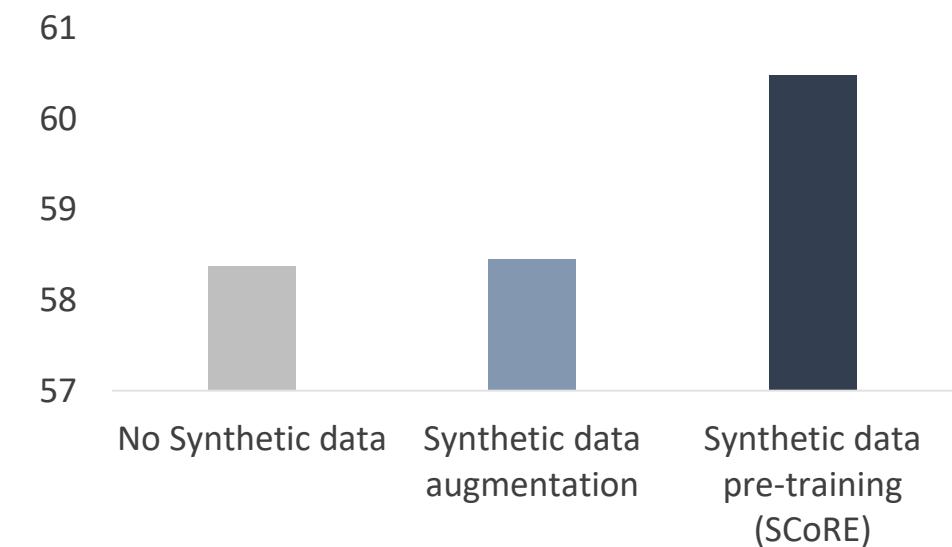
Results for the SQA dataset.  
Other datasets exhibit similar behavior.  
Comparison with RoBERTa exhibits similar behavior

# Pre-training on the synthesized data with the new training objectives is much more effective than using it for data augmentation



CoSQL dataset

Base Model: RAT-SQL + BERT



MultiWoZ 2.1 dataset

Base Model: TripPy

# Richer Models of User Interactions

# Motivation

- Traditional Semantic Parsing : one-shot translation of an utterance to a corresponding logical form



Find all locations whose name contains the word “film”

↓ **Semantic Parsing**



```
SELECT Address FROM Locations WHERE  
Location_Name LIKE "%film%";
```

# Motivation

- Interactive Semantic Parsing: humans can further interact with the system by providing free-form natural language feedback to correct the system when it generates an inaccurate interpretation



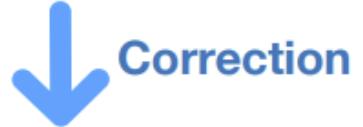
Find all locations whose name contains the word “film”



```
SELECT Address FROM Locations WHERE  
Location_Name LIKE "%film%";
```



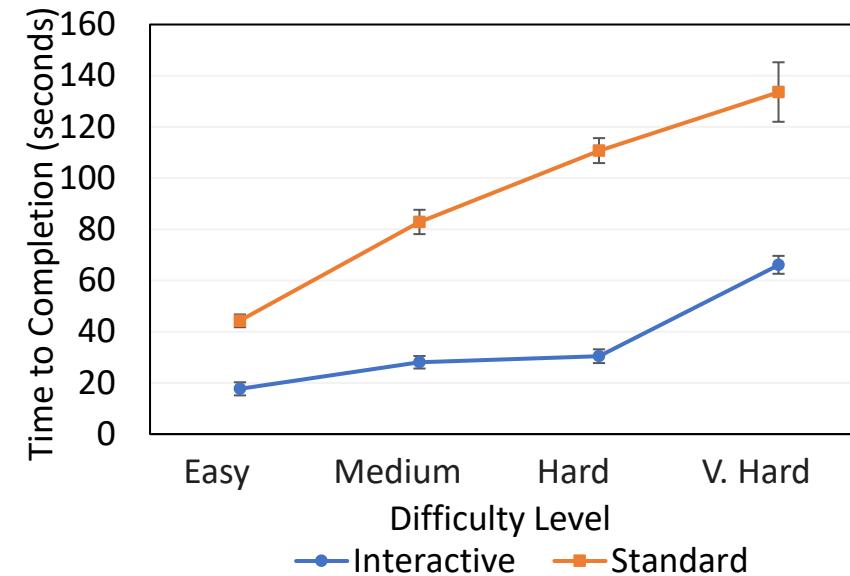
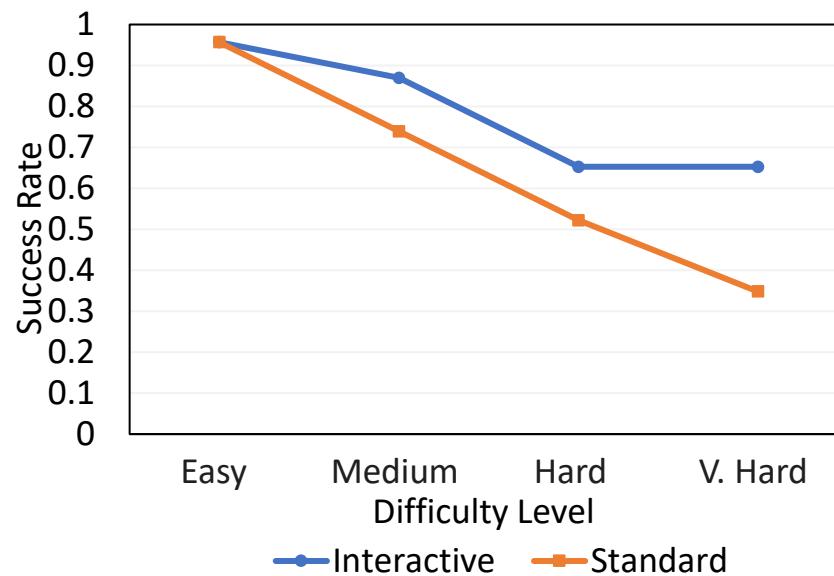
Address is wrong. I want the names of those locations.



```
SELECT Location_Name FROM Locations WHERE  
Location_Name LIKE "%film%";
```

# Motivation

- Many **Semantic Parsing Errors** are minor and can be corrected if humans have a way to continue interacting with the system to correct them



(Su et. al, 2018)

# Semantic Parsing Correction with Natural Language Feedback

**Utterance:** Find all locations whose name contains the word “film”

**Initial Parse:** `SELECT Address FROM Locations WHERE Location_Name LIKE "%film%";`

**Feedback:** Address is wrong. I want the names of those locations.

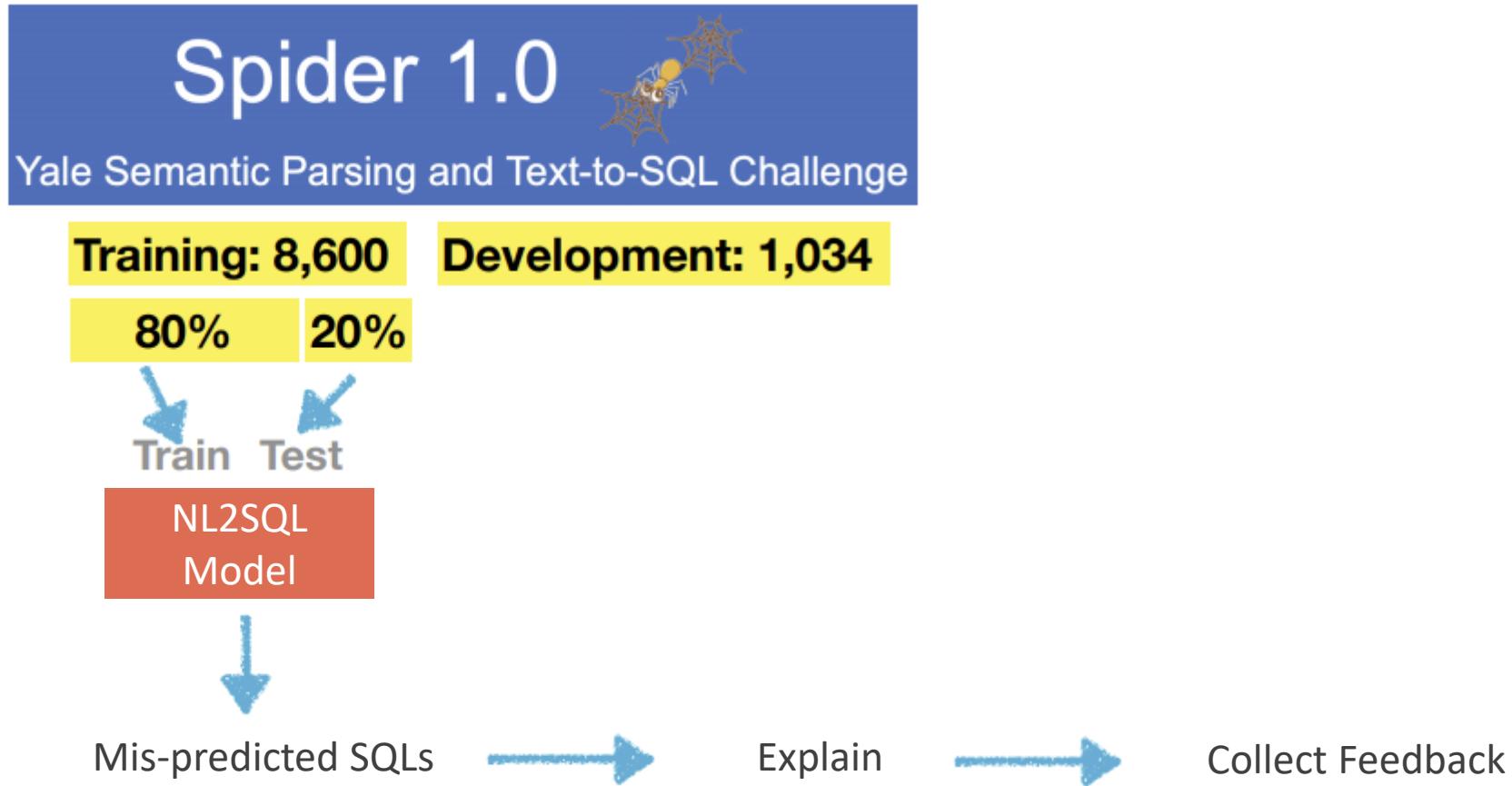
**Schema:** `Location_ID | Location_Name | Address | Other_Details`



**Corrected Parse:** `SELECT Location_Name FROM Locations WHERE Location_Name LIKE "%film%";`

# SPLASH: A Dataset of NL Correction

Utterances  
+  
Gold Parses



# SPLASH: Explaining SQL

**SQL:** `SELECT Id, Name FROM Browser GROUP BY Id  
ORDER BY COUNT(*) DESC`



**Template:** `SELECT $cs0 FROM $t0 GROUPBY $c0 ORDERBY $aggr0 $c1`



**Explanation:** Step 1: Find the number of rows of each value of id in browser table.  
Step 2: Find id, name of browser table with largest value in the results of step 1.

# SPLASH: Explaining SQL

- Types of Feedback

| Feedback Type  | %   | Example                                       |
|----------------|-----|---|
| Output         |     |   |
| - Unneeded     | 4%  | No need to return email address               |
| - Missing      | 13% | I also need the number of different services  |
| - Wrong        | 36% | Return capacity in place of height            |
| Conditions     |     |   |
| - Unneeded     | 7%  | Return results for all majors                 |
| - Missing      | 10% | Ensure they are FDA approved                  |
| - Wrong        | 19% | Need to filter on open year not register year |
| Order/Distinct | 5%  | Only return unique values                     |
| Aggregation    | 6%  | I wanted the smallest ones not the largest    |

# SPLASH: Explaining SQL

- Types of Feedback

- Complete Feedback: 81.5%

**Question:** Show the types of schools that have two schools

**Pred. SQL:** `SELECT TYPE FROM school GROUP BY TYPE HAVING count(*) >= 2`

**Feedback:** You should not use greater than.

- Partial Feedback: 13.5%

**Question:** What are the names of all races held between 2009 and 2011

**Pred. SQL:** `SELECT country FROM circuits WHERE lat BETWEEN 2009 AND 2011`

**Feedback:** You should use races table.

- Paraphrase Feedback: 5.0%

**Question:** What zip codes have a station with a max temperature greater than or equal to 80 and when did it reach that temperature

**Feedback:** Find date , zip code whose max temperature f greater than or equals 80

# Learning to Edit with NL Feedback

Difference between initial incorrect parse (source) and correct parse (target) is a set of edit operations

**Source**

```
select: arg1:"id", arg2:"MAX(grade)"  
from: arg1:"assignments"  
group-by: arg1:"id"  
where: arg1:"grade > 20", arg2:"id NOT IN subs1"  
subs:  
  arg1:  
    select: arg1: "id"  
    from: arg1: "graduates"
```

**Target**

```
select: arg1:"id", arg2:"AVG(grade)"  
from: arg1:"assignments"  
group-by: arg1:"id"  
where: arg1:"grade > 20"  
order-by: arg1: id
```

**Edit**

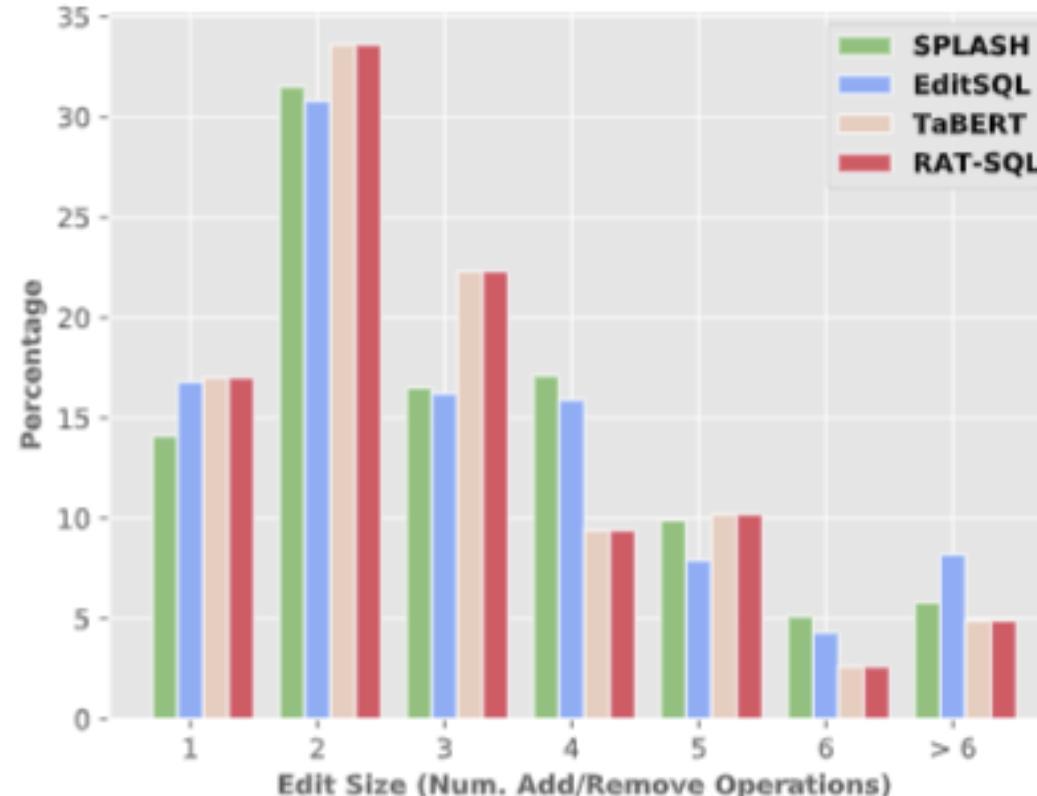
```
select: remove "MAX(grade)", add "AVG(grade)"  
where: remove "id NOT IN sub1"  
order-by: add "id"
```

Linearize

```
<select> remove maximum grade </select> <select> add average grade </select> <where> remove id not one of </where> <orderby> add id </orderby>
```

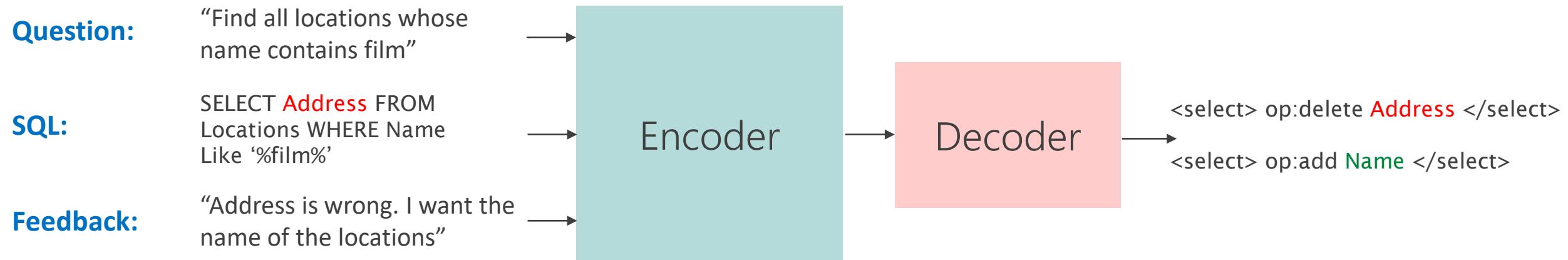
# Learning to Edit with NL Feedback

Most corrections involve a small number of edits



# Learning to Edit with NL Feedback

Learning to generate edits to correct mistakes based on open-form feedback



# Learning to Edit with NL Feedback

| Model  | Correction accuracy (%) |
|--|-------------------------|
| <b>Without Feedback:</b><br>- Re-ranking: beam<br>- Re-ranking: parser score | 11.9<br>11.3            |
| <b>With Feedback:</b><br>- Re-ranking<br>- Re-generation (EditSQL)           | 16.6<br>25.2            |
| <b>With Feedback:</b><br>- Learning to Edit (NLEdit)                         | 41.4                    |

# Multi-Modal Interactions as Feedback

## “Debug-it-Yourself” Interface:

1. A small-but-relevant example is created
2. Allow counterfactual exploration and editing
3. Link back to the main database

The interface consists of three main panels:

- User Panel (B):** Shows a user query: "User Wed at 03:17 AM, 10/7 What is the **average acceleration** of cars each **year** ?".
- System Panel (C):** Shows a table titled "cars\_data" with "Avg(Accelerate) Year" and three rows: 12.71 (1970), 15.31 (1971), 15.13 (1972). Includes navigation buttons (Page 1 of 5).
- Analysis Panel (i, ii, iii, iv):** A large panel for "Entities Detected in the Question".
  - i:** The question text: "What is the **average acceleration** of cars each **year** ?". Below it are dropdowns: "average of" (set to "average of"), "cars\_data.Accelerate" (set to "cars\_data.Accelerate"), and "cars\_data.Year" (set to "cars\_data.Year").
  - ii:** "Sample Data View" showing a table with columns "Accelerate" and "Year". Rows: 12 (1970), 11.5 (1970), 11 (1970), 12 (1971), 10.5 (1971). Includes a "Task" button.
  - iii:** "Steps" showing a sequence: 0 (Sample Data View) → 1 (Group records with the same **cars\_data.Year** together). Below is a table showing grouped data for 1970 and 1971.
  - iv:** "Answer on the Sample Data" showing the final grouped table: "cars\_data" with "Year" and "Accelerate". Rows: 1970 (3 records) (12, 11.5, 11) and 1971 (2 records) (12, 10.5).

Annotations A, B, C, and D are present: A points to the "Task" button in the Sample Data View; B points to the user query; C points to the system response table; D points to the "Task" button in the Sample Data View.



## Take-aways



### Richer Contextual Representations

- Importance of leveraging context from interactions and underlying ontology (data)
- Leveraging common challenges across multiple tasks
- Pre-training as a method for contextualization
- Better context representation leads to better few-shot learning abilities

## Take-aways



### Richer Models of User Interactions

- Toward more collaborative AI systems that can use the user as a teacher
- Richer interaction can lead to better user satisfaction
- Richer models for feedback (binary, natural language , multimodal feedback)
- Interactivity as part of task definition and system evaluation

## Take-aways



### Many more challenges

- Data Collection and generalization
- Interactivity, continuous learning, and personalization
- Explainability, privacy and trustworthiness
- Evaluation and benchmarks



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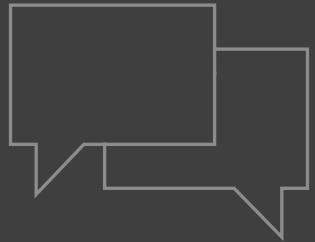


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Yale

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Thank you

<https://aka.ms/ahmed>  
<https://aka.ms/Conversations-With-Data>