Abstract
Models such as mBERT and XLMR have shown success in solving Code-Mixed NLP tasks even though they were not exposed to such text during pretraining. Code-Mixed NLP models have relied on using synthetically generated data along with naturally occurring data to improve their performance. Finetuning mBERT on such data improves its code-mixed performance, but the benefits of using the different types of Code-Mixed data aren’t clear. In this paper, we study the impact of finetuning with different types of code-mixed data and outline the changes that occur to the model during such finetuning. Our findings suggest that using naturally occurring code-mixed data brings in the best performance improvement after finetuning and that finetuning with any type of code-mixed text improves the responsiveness of its attention heads to code-mixed text inputs.

1 Introduction
Massive multilingual models such as mBERT (Devlin et al., 2019) and XLMR (Conneau et al., 2020) have recently become very popular as they cover over 100 languages and are capable of zero-shot transfer of performance in downstream tasks across languages. As these models serve as good multilingual representations of sentences (Pires et al., 2019), there have been attempts at using these representations for encoding code-mixed sentences (Srinivasan, 2020; Aguilar et al., 2020; Khanuja et al., 2020). Code-Mixing (CM) is the mixing of words belonging two or more languages within a single sentence and is a commonly observed phenomenon in societies with multiple spoken languages. These multilingual models have shown promise for solving CM tasks having surpassed the previously achieved performances (Khanuja et al., 2020; Aguilar et al., 2020). This is an impressive feat considering that these models have never been exposed to any form of code-mixing during their pre-training stage.

Traditionally, CM has been a spoken phenomenon though it is slowly penetrating into written form of communication (Tay, 1989). However, they mostly occur in an informal setting and hence such CM data is not publicly available in large quantities. Such scarcity of data would mean that building independent CM models can be unfeasible. With the onset of pre-trained multilingual models, further training with CM data can help in adapting these models for CM processing. However, even for further training, there is a requirement for a significant amount of data albeit lesser than starting from scratch. The amount of data available even for their monolingual counterparts is very less (Joshi et al., 2020) let alone the amount of real-world CM data. This can prove to be a bottleneck. Rightly so,
there have been previous works exploring synthesis of CM data for the purpose of data augmentation (Bhat et al., 2016; Pratapa et al., 2018a). Synthesis of CM mostly rely on certain linguistic theories (Poplack, 2000) to construct grammatically plausible sentences. These works have shown that using the synthetic and real CM data in a curriculum setting while fine-tuning can help with achieving better performances on the downstream CM tasks. Though this is analogous to adapting models to new domains, CM differs in that the adaptation is not purely at vocabulary or style level but rather at a grammatical level. Although it is known such adaptation techniques can bring an improvement, it is not well understood how exactly fine-tuning helps in the CM domain.

Through this paper, we seek to answer these lingering questions which exist in area of CM processing. We first study the impact of finetuning multilingual models with different forms of CM data on downstream task performance. For this purpose, we rely on three forms of CM varying in their complexity of mixing, naturalness and obtainability - (i) randomly ordered code-mixing (l-CM), (ii) grammatically appropriate code-mixing (g-CM) both of which are synthetically generated and (iii) real-world code-mixing (r-CM). We perform this comparative analysis in a controlled setting where we finetune models with the same quantity of CM text belonging to different forms and then evaluate these finetuned models on 11 downstream tasks. We find that on average the r-CM performs better on all tasks, whereas the synthetic forms of CM (l-CM, g-CM) tend to diminish the performance as compared to the stock/non-finetuned models. However, these synthetic forms of data can be used in conjunction to r-CM in a curriculum setting which allows to alleviate the data scarcity issue. In order to understand the difference in the behavior of these models, we analyze their self-attention heads using a novel visualization technique and show how fine-tuning with CM causes the model to respond more effectively to CM texts. We notice that using r-CM for finetuning makes the model more robust and the representations more distributed leading to better and stable overall performances on the downstream tasks.

The rest of the paper is organized as follows. Section 2 surveys prior work done in domain adaptation of transformer-based LMs, code-mixing and interpretability and analysis techniques. Section 3 introduces the different types of code-mixing and the models that we build with them. Section 4 and 5 respectively presents the task-based and attention-head based probing experiments along with the findings. Section 6 concludes the paper by summarizing the work and laying out future directions.

2 Related Work

2.1 Domain Adaptation of BERT

Pre-trained Language Models trained on generic data such as BERT and RoBERTa are often adapted to the domain where it is required to be used. Domain adaptation benefits BERT in two ways (i) it gives exposure to text in the domain specific contexts and (ii) adds domain specific terms to the vocabulary. BERT has been adapted to several domains especially once which have its own complex jargon of communication such as the biomedical domain (Lee et al., 2020; Peng et al., 2019; Alsentzer et al., 2019), scientific texts or publications (Beltagy et al., 2019), legal domain (Chalkidis et al., 2020) and financial document processing (Yang et al., 2020b). Most of these works employ sophisticated techniques for mining large quantities of domain specific text from the internet and thus prefer to train the BERT model from scratch rather than fine-tuning the available BERT checkpoints. This is because they don’t have to accommodate existing vocabulary along with the domain specific vocabulary which can lead to further fragmentation (Gu et al., 2020). While most works have looked at domain adaptation by plainly continuing the training using MLM objectives, some works have explored on different techniques to improve downstream task performance. Ma et al. (2019) uses curriculum learning and domain-discriminative data selection for domain adaptation. Adversarial techniques have been used for enforce domain-invariant learning and thus improve on generalization (Naik and Rose, 2020; Wang et al., 2019; Zhang et al., 2020). Ye et al. (2020) explores adapting BERT across languages. However, domain adaptation is not always effective and can lead to worse performances. This depends on several factors such as how different the domains are (Kashyap et al., 2020) or how much data is available (Zhang et al., 2020).
2.2 Code-Mixing

Traditionally, Code-Mixing has been used in informal contexts and can be difficult to obtain in large quantities (Rijhwani et al., 2017). This scarcity of data has been previously tackled by generation of synthetic CM data to augment the real CM data. Bhat et al. (2016); Pratapa et al. (2018a) demonstrate a technique to generate code-mixed sentences using parallel sentences and show that using these synthetic sentences can improve language model perplexity. A similar method is also proposed by Samanta et al. (2019) which uses parse trees to generate synthetic sentences. Yang et al. (2020a) generates CM sentences by using phrase tables to align and mix parts of a parallel sentence. Winata et al. (2019) proposes a technique to generate code-mixed sentences using pointer generator networks. The efficacy of synthetic CM data is evident from these works where they have been used in a curriculum setting for CM language modelling (Pratapa et al., 2018a), cross-lingual training of multilingual transformer models (Yang et al., 2020a) as well as to develop CM embeddings as a better alternative to standard cross-lingual embeddings for CM tasks (Pratapa et al., 2018b). In this work, we use grammatical theories to generate synthetic CM data from parallel sentences analogous to the aforementioned techniques.

2.3 BERT Attention based probing

Given the complex black-box nature of the BERT model, there have been a large number of works that propose experiments to probe and understand the working of different components of the BERT model. A large portion of these methods have focused on the attention mechanism of the transformer model. Clark et al. (2019); Htut et al. (2019) find that certain attention heads encode linguistic dependencies between words of the sentence. Kovaleva et al. (2019) report on the patterns in the attention heads of BERT and find that a large number of heads just attend to the [CLS] or [SEP] tokens and do not encode any relation between the words of the sentence. Michel et al. (2019); Prasanna et al. (2020) also show that many of BERT’s attention heads are redundant and pruning heads does not affect downstream task performance. In this paper, we borrow ideas from these works and propose a technique for visualizing the attention heads and how their behaviour changes during fine-tuning.

3 Models

In this section, we describe the mBERT models, the modifications we make to them, and the types of CM data that we use for training.

3.1 Types of Code-Mixing

For the purpose of this study, we characterize CM data across two dimensions: linguistic complexity and languages involved. Here, we experiment with CM for two different language pairs: English-Spanish (enes) and English-Hindi (enhi). While Spanish has similar word order and a sizeable shared vocabulary with English, Hindi has a different word order and no shared vocabulary by virtue of using a different script. Thus, investigating through these two diverse pairs is expected to help us understand the representational variance.

The linguistic complexity of code-mixing can be categorized into the following three types:

Lexical Code-Mixing (l-CM): The simplest form of code-mixing is to substitute lexical units within a monolingual sentence with its counterpart from the other language. This can be achieved by using parallel sentences, and aligning the words with an aligner (Dyer et al., 2013).

Grammatical Code-Mixing (g-CM): There are grammatical constraints (Joshi, 1982; Poplack, 2000; Belazi et al., 1994) on word-order changes and lexical substitution during code-mixing that the l-CM does not take into account. Pratapa et al. (2018a) propose a technique to generate all grammatically valid CM sentences from a pair of parallel sentences. Here, we use this generated dataset as our g-CM.

Parse trees are generated for parallel sentences (between two languages $L_1$ and $L_2$), and common nodes between these parse trees are then replaced based on certain conditions specified by Equivalence Constraint (EC) theory (Poplack, 2000; Sankoff, 1998), thereby producing a grammatically sound code-mixing. Fine-tuning with this form of CM should ideally impart the knowledge of grammatical boundaries for CM and would let us know whether a grammatically correct CM sentence is required to improve the performance.

Real Code-Mixing (r-CM): While g-CM considers purely the syntactic structure of CM, real-world
code-mixing is influenced by many more factors such as cultural/social and/or language-specific norms which come in the semantic and pragmatics space of language understanding. Though r-CM is a subset of g-CM, there does not exist any method which can sample realistic CM from such synthetic data, hence we rely on real-world CM datasets. Fine-tuning with this form should let the model become aware of certain nuances of real-world code-mixing which are still not completely known.

### 3.2 Training Procedure

There are 3 [types] × 2 [language-pairs] = 6 combinations of data which can be obtained based on the previous specifications. For L-CM and g-CM, we use the same set of parallel sentences: en-es from Rijhwani et al. (2017) and en-hi from Kunchukuttan et al. (2018). As CM is prominently used in informal contexts, it is difficult to procure textual r-CM data. We use twitter data from Rijhwani et al. (2017) for en-es; for en-hi, we use data from online forums and Twitter respectively from Chandu et al. (2018) and Patro et al. (2017). For each of the 6 combinations, we randomly sample 100,000 sentences which is then used to further train mBERT with the masked language modelling objective. We use layer-wise scaled learning rate while finetuning the models. Sun et al. (2019)

**Model Notation:** Let \( m(\cdot) \) be the vanilla mBERT, then \( m(p,q) \) are the mBERTs further trained on \( (p, q) \) data, where \( p \in \{l, g, r\} \) is the complexity of mixing and \( q \in \{enes, enhi\} \) is the language of mixing. For example, a model trained on English-Hindi lexical code-mixed data will be represented as \( m(l, enhi) \). ⊙ means that the model used depends on the configuration of the corresponding data. For example, \( m(l, \cdot) \) with enes data would mean that the model used is \( m(l, enes) \) while with enhi data would mean that the model used is \( m(l, enhi) \).

### 4 Task-based Probing

In this section, we describe layer-wise task-based probing of the different models.

#### 4.1 Tasks

Recently, two benchmarks for code-mixing were released: GLUECoS (Khanuja et al., 2020) and LINCE (Aguilar et al., 2020). For this study, we probe with the following tasks from GLUECoS: Language Identification (LID), Part-of-Speech (POS) Tagging, Named Entity Recognition (NER) and Sentiment Analysis (SENT) for both enes and enhi, and Question Answering (QA) and Natural Language Inference (NLI) for only enhi.

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**Table 1:** Performance of the models for different tasks along with their standard deviations. The trained model language corresponds to the language the model is tested on, and is denoted by ⊙. r-CM trained models almost always perform better than models trained on other types of CM data.

<table>
<thead>
<tr>
<th>model</th>
<th>SENT</th>
<th>NER</th>
<th>POS</th>
<th>LID</th>
<th>QA</th>
<th>NLI</th>
</tr>
</thead>
<tbody>
<tr>
<td>( m(l) )</td>
<td>67.81 ±25</td>
<td>58.42 ±11</td>
<td>59.50 ±9</td>
<td>75.55 ±4</td>
<td>58.00 ±8</td>
<td>95.99 ±0.8</td>
</tr>
<tr>
<td>( m(l,g) )</td>
<td>60.46 ±25</td>
<td>58.42 ±11</td>
<td>59.50 ±9</td>
<td>75.55 ±4</td>
<td>58.00 ±8</td>
<td>95.99 ±0.8</td>
</tr>
<tr>
<td>( m(l,r) )</td>
<td>65.12 ±25</td>
<td>58.42 ±11</td>
<td>59.50 ±9</td>
<td>75.55 ±4</td>
<td>58.00 ±8</td>
<td>95.99 ±0.8</td>
</tr>
</tbody>
</table>

**Figure 2:** Layer-wise F1 scores for LID, POS, NER and SENT respectively across different layers. The dashed lines represent the enhi versions and solid lines represent the enes versions of different tasks.
4.2 Method
We first measure the performance of these models on the aforementioned tasks. For each task, we fine-tune the models further after attaching a task specific classification layer. We report the average performances and standard deviations of each model run for 5 seeds in Table 1.

In addition to getting absolute performances, we want to get an insight of how much each layer of the different models contribute to the performance of a particular task. Following Tenney et al. (2019), we measure the solvability of a task by finding out the expected layer at which the model is able to correctly solve the task. Here the mBERT weights are kept frozen and a weighted sum of representations from each layer are passed to the task specific layer. Figure 2 shows the layer-wise F1 scores for the tasks for different models and language pairs. We additionally calculate scalar mixing weights which lets us know the contribution of each layer by calculating the attention paid to each layer for the task.

4.3 Observations
From Table 1 it is clear that for almost all the tasks, \(m_{(r,g)}\) models perform better than the other fine-tuned models. In Particular, fine-tuning with \(r\)-CM data helps with \(\text{SENT, LID}\) \(\text{enes}\) as well as \(\text{QA}\) tasks. While for \(\text{POS}\), the performance remains almost same regardless of which data the model is fine-tuned with.\(^3\)

These differences are also reflected in the layer-wise performance of these models as shown in Figure 2. The tasks are considered solved at the knee point where the performances start plateauing. The performances of different models start at the same note, and after a certain point \(m_{(r,g)}\) diverges to plateau at a higher performance than others. This can be attributed to final layers adapting the most during MLM fine-tuning. (Liu et al., 2019; Kovaleva et al., 2019). \(\text{LID}\) gets solved around 2\(^{nd}\) layer. \(\text{enhi LID}\) gives a relatively high performance at the 0\(^{th}\) layer indicating that it only needs the token+positional embeddings. This is because \(\text{enhi LID}\) task has \(en\) and \(hi\) words in different scripts, which means it can be solved even with a simple unicode classification rule. \(\text{POS}\) gets solved at around 4\(^{th}\) layer. The indifference to fine-tuning observed in case of \(\text{POS}\) is reflected here as well, as all the models are performing equally at all the layers for both the languages.

\(\text{NER}\) gets solved around the 5\(^{th}\) layer. Here, \(r\)-CM training seems to help for \(\text{enhi}\), perhaps due to exposure to more world knowledge which is required for \(\text{NER}\). \(\text{SENT}\) shows an interesting shift in patterns. We can see that \(m_{(\text{enes})}\) solves the task at 6\(^{th}\) layer whereas the other models solve it at around 8\(^{th}\) layer. Thus, the general trend observed is that easier tasks like \(\text{LID, POS}\) are solved in the earlier layers and as the complexity of the tasks increase, the effective layer moves deeper - which shows a neat pattern of how BERT “re-discovers” the NLP pipeline (Tenney et al., 2019), or rather the CM pipeline in our case.

5 Structural Probing
As observed earlier, exposing mBERT to \(r\)-CM help boost its overall and layer-wise performance on CM tasks. In this section, we describe three structural probing experiments, through which we will try to visualize the structural changes in the network, if any, induced by continued pre-training with CM data that are responsible for performance gains. We will first look at whether there are any changes in the behaviour of attention heads at a global level by checking the inter-head distances within a model. Further, we want to localize and identify the heads whose behaviours have changed. Finally, we take a look at how the attention heads respond to code-mixed stimulus.

5.1 Probes
The probes for conducting the experiments consist of CM and Monolingual sentences. We take a sample of 1000 sentences for each type of CM as well as monolingual sentences for each language.

**Probe Notation:** To denote these probes, we use \(d_{(p,q)}\) such that \(p \in \{l, g, r\}\) is the complexity of mixing and \(q \in \{\text{enes, enhi, en, hi, es}\}\) are the languages. For example, English-Spanish lexical CM data is represented as \(d_{(l,\text{enes})}\) and (real) Spanish monolingual data is represented as \(d_{(-,\text{es})}\).
5.2 Global Patterns of Change

Has anything changed within the models due to pre-training with CM datasets? In order to answer this question, we look at the global patterns of relative distances between the attention heads within a model.

Method: Clark et al. (2019) describes an inter-head similarity measure which allows for visualizing distances between each attention head with another within a model. The distance \( d(H_i, H_j) \) is calculated as,

\[
d(H_i, H_j) = \sum_{\text{token} \in \text{sentence}} JS(H_i(\text{token}), H_j(\text{token}))
\]

where \( JS \) is the Jensen-Shannon Divergence, \( i \) and \( j \) are the layers and their respective heads, \( m_{(\circ, \circ)} \) is any model in the set of fine-tuned models and \( m_{(\circ)} \) is the vanilla model. We visualize these distances in form of heatmaps (\( \Delta_m \) maps). For the sake of clarity, only top 15 attention heads is plotted for each \( \Delta_m \) map. The darker the head, the more the head has changed between a particular trained model and the vanilla model. Visual triangulation can let us understand if there are common heads between sets of models and probes.

Observation: Figure 3 shows the two-dimensional projections of the heads labeled by the layers. There are clear differences between the patterns in \( m_{(\circ)} \) and the other models, though the same cannot be said for the probes. \( m_{(\circ)} \) shows a rather distributed representation of heads across layers; in particular, \( g \)-CM models have a tightly packed representation especially for the later layers.

5.3 Local Patterns of Change

Attention patterns of which heads have changed? We observe that there is a change in the overall internal representations based on the type of data which the models are exposed to. It would be interesting to know which specific attention heads, or layers are most affected by the exposure to CM data.

Method: In order to contrast the attention patterns of specific heads between \( m_{(\circ, \circ)} \) and the base model - \( m_{(\circ)} \), we calculate the distance between their corresponding heads as follows:

\[
\Delta_m = JS(H_{i,j}^{m_{(\circ, \circ)}}(\text{token}), H_{i,j}^{m_{(\circ)}}(\text{token}))
\]

where \( JS \) is the Jensen-Shannon Divergence, \( i \) and \( j \) are the layers and their respective heads, \( m_{(\circ, \circ)} \) is any model in the set of fine-tuned models and \( m_{(\circ)} \) is the vanilla model. We visualize these distances in form of heatmaps (\( \Delta_m \) maps). For the sake of clarity, only top 15 attention heads is plotted for each \( \Delta_m \) map. The darker the head, the more the head has changed between a particular trained model and the vanilla model. Visual triangulation can let us understand if there are common heads between different configurations of trained models and probes.

Observation: Figure 4 depicts the different combinations of \( \Delta_m \) maps. It can be seen how there are common heads between different configurations of trained models as well as the inference data which is used. Here, even the difference between different languages and forms of code-mixing stand out compared to the previous analysis. We also look at cross-interaction of languages: fine-tuned in one language and probed on another. Through visual examination, we highlight some of the common heads which are present among the different \( \Delta_m \) plots.

5.4 Responsivity to Code-Mixing

How do attention heads respond to code-mixed probes? The common patterns in the way heads are functioning between different models and probes are easily observable from the set of \( \Delta_m \) maps. These do point us to certain heads getting more activated while encoding a particular type of CM sentence. In this section, we want to understand
Figure 4: $\Delta_m$ maps for different configurations of trained models and probes. The first row of maps depict head interactions within same language whereas the second row of maps depict cross-language interaction i.e. trained and probed on different languages. Some of the common heads that can be observed have been marked to show the patterns which differentiate between complexity and language of models and probes.

how these heads respond to input probes. We borrow the term \textit{responsivity}, $R$, from the field of neuroscience which is used to summarize the change in the neural response per unit signal (stimulus) strength. In this context, we want to understand the change in attention head response of different models when exposed to CM data which act as the stimulus.

\textbf{Method:} Our aim is to understand the excitement of different heads when they see code-mixed data as a stimulus. To this end, we design a classification experiment to quantify the excitement of each head (l neuron) while distinguishing between monolingual and CM classes. For the CM class, we randomly sample 2000 sentences from \textit{r-CM} in the same way as we did for probes. Similarly, for monolingual class, we sample 1000 sentences each from \textit{en} and \textit{es} or \textit{HI}. Each probe is then passed through the different models to obtain the attentions. To summarize the net attention for each head, we average the attentions over all the tokens after removing [CLS] & [SEP] tokens present in that head. ([CLS] & [SEP]) tokens are removed as they act as a sink to non-attended tokens.

These average attention heads are then used as features ($x$) ($12 \times 12 = 144$ features) with the monolingual and CM classes being the predictor variable ($y$). To capture the relative excitement of different heads to $y$, we define responsivity ($R$) as the gain of information of each feature (or heads) in context of the prediction variable ($y$). This is analogous to Information Gain used in determining feature importance. Hence, Responsivity of a head $x$ for class $y$ can be written as:

$$R_{x,y} = H(x) - H(x|y)$$

where, $H(x)$ is the entropy of class distribution for $x$ and $H(x|y)$ is the conditional entropy for $x$
Figure 5: $R$ of different models when classifying Monolingual vs. Code-mixing sentence

**Observation:** As shown in Figure 5, we plot the responsivity of different attention heads to CM in the form of $12 \times 12$ $R$ heatmaps. We also plot the distribution of these values. We report two values, mean responsivity ($\mu$) of a model to code-mixing and kurtosis ($\kappa$) to measure the skewness or the tailedness of the distribution compared to a normal distribution.

It can be observed from the heatmaps that there are certain common heads such as (1, 0), (2, 9) which are highly responsive to CM. As we pump in different types of CM data, we can observe that responsivity of some heads [(5, 10), (6,9)] are reducing while for other heads [(1, 7), (4, 8)] it is spiking up. A distinctive pattern that can be noticed from the heatmaps is that as CM data is fed to the models in the order of their linguistic complexity, more and more heads are responding towards the CM stimulus. Even the distribution density curve widens as confirmed by decreasing Kurtosis.

As described earlier, there is no single point in the network which responds to CM data. Previous studies (Elazar et al., 2020) involving probing of specific regions to understand their independent contributions to solving any task has been somewhat futile. It has been observed that heads collectively work towards solving tasks, and such specific regions cannot be demarcated - which means that information pertaining to task-solving is represented in a distributed fashion. In line with this, it has been shown that these models can be significantly pruned during inference with minimal drop in performance (Michel et al., 2019; Kovaleva et al., 2019). Our study confirms these observations for code-mixing as well, through a different visualization approach.

### 6 Conclusion & Future Work

In this work, we develop different methods of fine-tuning BERT-like models for CM processing. We then compare the downstream task performances of these models through absolute performance, their stability as well as the layer-wise solvability of certain tasks. To further understand the varied performances between the three types of CM, we perform structural probing. We adopted an existing approach and introduced a couple of new techniques for the visualization of the attention heads as a response to probes.

The most important finding from these probing experiments is that there are discernable changes introduced in the models due to exposure to CM data, of which a particularly interesting observation is that this exposure increases the overall responsivity of the attention heads to CM. As of now, these experiments are purely analytical in nature where we observed how the attention heads behave on a CM stimuli. One future direction is to expand the analysis to a wider range of domains and fine-tuning experiments to understand how generalizable are our findings of distributed information in BERT-like models. We use a fairly simple and easily replicable method for testing this through the responsivity metric that we propose. This method can be further improved to rigorously verify our observations.

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