

INTENT DETECTION USING SEMANTICALLY ENRICHED WORD EMBEDDINGS

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ABSTRACT

State-of-the-art targeted language understanding systems rely on deep learning methods using 1-hot word vectors or off-the-shelf word embeddings. While word embeddings can be enriched with information from semantic lexicons (such as WordNet and PPDB) to improve their semantic representation, most previous research on word-embedding enriching has focused on improving intrinsic word-level tasks such as word analogy and antonym detection. In this work, we enrich word embeddings to force semantically similar or dissimilar words to be closer or farther away in the embedding space to improve the performance of an extrinsic task, namely, intent detection for spoken language understanding. We utilize several semantic lexicons, such as WordNet, PPDB, and Macmillan Dictionary to enrich the word embeddings and later use them as initial representation of words for intent detection. Thus, we enrich embeddings outside the neural network as opposed to learning the embeddings within the network, and, on top of the embeddings, build bidirectional LSTM for intent detection. Our experiments on ATIS and a real log dataset from Microsoft Cortana show that word embeddings enriched with semantic lexicons can improve intent detection.

Index Terms— word embeddings, semantic lexicons, LSTM, intent detection, spoken language understanding

1. INTRODUCTION

Word embeddings represent words as real-valued vectors and they have been widely used as the inputs to neural network based models for NLP tasks. Word embedding models such as word2vec (skip-gram and continuous bag-of-words (CBOW)) [1, 2], and GloVe [3] generate word vectors based on the distributional hypothesis [4], which assumes that the meaning of each word can be represented by the context of the word. However, word embeddings trained only with the neighboring contexts may place words improperly in vector spaces. For example, even though antonyms are semantically opposite, because their contexts are similar in many cases, antonym vectors can be quite close in vector spaces.

To deal with this issue, semantic lexicons such as WordNet [5] and the Paraphrase Database (PPDB) [6, 7], which contain semantic relations among words, have been used to enrich word embeddings. In [8], each word vector is adjusted to be in the middle between its initial vector and the average of its synonymous words. In [9], each word vector is adjusted with a max-margin approach letting synonyms be more similar and antonyms be more dissimilar while maintaining the similarities among initial neighboring words. In [10, 11, 12, 13], skip-gram is jointly trained to incorporate word relation information from semantic lexicons. For more fine-grained semantic representations, semantic intensity scales can be utilized to enrich word embeddings [14]. Hypernym and hyponym relations can also be used to enrich word embeddings regarding semantic hierarchies [12, 15].

However, these enrichment approaches showed improved performance only on word-level tasks such as word similarity [8, 9, 11], antonym detection [10, 11, 16, 13], semantic hierarchies [12, 15], and semantic scale inference [14]. More critical language understanding tasks such as intent detection and slot filling in spoken language understanding [17] require dealing with phrases and sentences.

Recently, gated recurrent neural network models such as Long Short-term Memory (LSTM) [18] and Gated Recurrent Unit (GRU) [19] have been widely used for sentence-level NLP tasks since they can utilize long temporal dependency information, which is important in dealing with long sentences. Those models have also been actively used for intent detection and slot filling. Those two tasks have been jointly or independently trained with GRU, LSTM [20, 21], bidirectional LSTM [22], or bidirectional LSTM with attention mechanism in [23].

Those models showed good performances without exploiting external semantic resources. By utilizing semantically enriched word embeddings, we can further improve the models for the spoken language understanding tasks.

In this work, we extend the word embedding enrichment in [9] by incorporating the enrichment with related words from Macmillan Dictionary, and build bidirectional LSTM on top of the enriched word embeddings. By evaluating on a publicly available language understanding benchmark corpus, ATIS, and a real log dataset about places from Microsoft Cortana, we show that word embeddings enriched with se-

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mantic lexicons can improve the performance of intent detection. Also, we show that fixing the enriched word embeddings during the training of the model is more effective than allowing updating of the word embeddings when the training sets are small.

2. ENRICHING WORD EMBEDDINGS

We use 200 dimensional GloVe word vectors, which showed competitive performance in various NLP tasks [24], as the baseline word vectors, and enrich them with the enrichment method used in [9]. The method adjusts word vectors to make 1) synonym word vectors to be more similar, 2) antonym word vectors farther apart while 3) keeping the adjusted word vectors' similarities to their initial neighboring word vectors. These three criteria are formulated as three max-margin objective functions whose linear combination is minimized with stochastic gradient descent. While this approach deals with only synonyms and antonyms, we added a new objective function making related words in Macmillan dictionary to be more similar. Those max-margin objective functions are formulated as follows.

2.1. Enriching with antonyms

Word vectors are adjusted so that the cosine similarity between a word and each of its antonyms will be zero or lower:

$$AF(V) = \sum_{(u,w) \in A} \tau(\cos(v_u, v_w)), \quad (1)$$

where $\tau(x) = \max(0, x)$, V is the vocabulary matrix, A is the set of antonym pairs, and v_i is the i -th row of V (i -th word vector). The antonym pairs consist of the antonyms from WordNet and *Exclusion* relations from PPDB.

2.2. Enriching with synonyms

The cosine similarity between a word and each of its synonyms is increased:

$$SC(V) = \sum_{(u,w) \in S} \tau(\delta - \cos(v_u, v_w)), \quad (2)$$

where S is the set of synonym pairs and δ is 1. The synonym pairs consist of the *Equivalence* relations from PPDB. Since δ is 1, synonym vectors are encouraged to be adjusted to be maximally similar.

2.3. Regularizing by keeping the similarity to the initial neighboring words

If we adjust word vectors too much, we may lose information about their distributional semantics coming from the initial word vectors. This may degrade the quality of word vectors. To deal with this issue, we added a regularization term

to the objective function by keeping the cosine similarity between the initial vectors of a word and each of its neighboring words higher than or equal to the current cosine similarity between them as follows:

$$KN(V, V^0) = \sum_{i=1}^N \sum_{j \in N(i)} \tau(\cos(v_i, v_j) - \cos(v_i^0, v_j^0)), \quad (3)$$

where V^0 is the initial vocabulary matrix, N is the vocabulary size, and $N(i)$ is the set of the initial neighbors of the i -th word. For each word, other words with cosine similarities higher than or equal to 0.8 are regarded as its neighbors in the formulation.

The objective function for the word vector enrichment is represented as the sum of the following three terms:

$$C(V, V^0) = AF(V) + SC(V) + KN(V, V^0) \quad (4)$$

This function is minimized by stochastic gradient descent with learning rate 0.1 for maximum of 20 epochs.

2.4. Enriching with clusters of related words

The objective functions described so far are from [9] and they only utilize synonyms and antonyms to enrich word vectors. If we can also use information of related words, we can further improve the word embeddings. For example, "commute" and "ride" are not synonyms in WordNet but they are related as belonging to a same category of "traveling in a vehicle." In this case, even though "commute" and "ride" are not synonyms, it is helpful for representing word semantics to make the corresponding word vectors sufficiently similar. Therefore, we also adjust word vectors so that the cosine similarity between those related words from Macmillan Dictionary¹ is higher than or equal to 0 using:

$$RC(V) = \sum_{(u,w) \in R} \tau(\delta - \cos(v_u, v_w)), \quad (5)$$

where R is the set of related words and δ is 0. Different from Equation (2), which maximizes the cosine similarity between synonyms, we set δ to 0 so that related word vectors whose cosine similarity is already higher than or equal to 0 are not adjusted. This helps prevent related words from being more similar than synonyms in embedding spaces. Since verbs and nouns are important content words in intent detection, we tried both cases of using related verbs and nouns and using all the related words.

¹Available from <http://www.macmillandictionary.com/us>

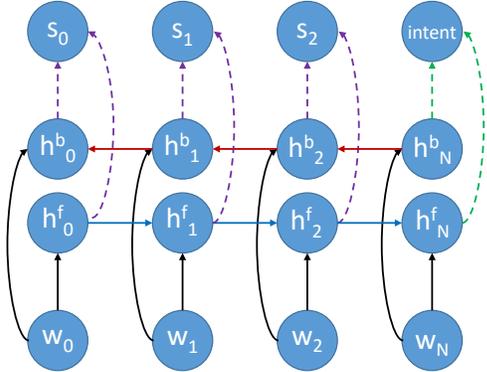


Fig. 1. The bidirectional LSTM architecture used in this work. w_i , h_i^f , h_i^b , and s_i denote the word embedding layer, the forward hidden unit layer, the backward hidden unit layer, and the slot output layer at i -th time step. *intent* is the intent output layer of the current sentence.

3. INTENT DETECTION

Intent detection is a query/utterance classification task that can be formulated as:

$$y' = \arg \max_y p(y|w_1, \dots, w_n), \quad (6)$$

where w_i is an i -th word of the current sentence and y is the intent.

Using the enriched word embeddings as initial word representations, we build bidirectional LSTM where we train an end-to-end model that jointly learns the slot and intent classes from the training data. Figure 1 shows the architecture of the model.

In Figure 1, w_i denotes the word embedding output of the i -th word in the current sentence. w_0 and w_N denote the word embedding outputs of the beginning (BOS) and the ending (EOS) of the sentence, respectively. h_i^f and h_i^b denote the LSTM hidden layer outputs in forward direction and backward direction, respectively. s_i denotes the slot output and *intent* is the intent output of the sentence. For the regularization, we normalize word vectors after each update and we insert a dropout layer [25] with drop rate 0.5 between hidden layers and the output layers.

In [22], it was shown that joint training for both intent detection and slot filling can perform better than training a model only for intent detection. Therefore, similar to the joint modeling architectures in [22], we jointly train intent detection and slot filling, where the nodes of 0 to $(N - 1)$ -th time steps are used for slot filling, and the nodes of N -th (EOS) step are used for the intent detection. However, different from the approach in [22], we have dedicated connections from hidden nodes to the slot filling and other connections from hidden nodes to the intent detection since intent labels are never targeted in slot filling and vice versa. Also, while

| | ATIS | Places |
|-------------------------|-------|--------|
| Words in the vocabulary | 637 | 13,640 |
| Intent labels | 22 | 35 |
| Train set sentences | 4,978 | 10,000 |
| Dev set sentences | - | 10,000 |
| Test set sentences | 893 | 10,000 |

Table 1. The vocabulary sizes, the numbers of the intent labels, the train set sizes, the development set sizes, and the test set sizes of the evaluation datasets.

| | ATIS | Places |
|--------------------------------------|------|--------|
| WordNet antonyms | 53 | 530 |
| PPDB antonyms | 9 | 137 |
| PPDB synonyms | 67 | 1,268 |
| Related verbs & nouns from Macmillan | 269 | 5,389 |
| All related words from Macmillan | 458 | 6,417 |

Table 2. The numbers of adjusted words by different semantic lexicons.

only forward hidden nodes are used for intent detection in [22], we detect intents using both forward and backward hidden nodes.

Since we jointly train both intent detection and slot filling, we utilize both intent errors and slot filling errors for updating the model. Because there can be more than one slot while there is only one intent in a sentence, as a gradient normalization, we divide the gradients from slot filling errors by the number of slots in the current sentence. In this case, since the gradients from slot filling errors are shrunk, the model is trained with more focus on correcting the intent detection errors.

We try both cases of fixing and updating the word embedding layer during the training to see if fixing the word embeddings can perform better depending on the size of the training sets.

4. EVALUATION

We show the accuracies of intent detection given different methods of word embedding enrichment and different training set sizes for both cases of word embedding fixing or updating during the training.

4.1. Evaluation datasets

We evaluate intent detection on ATIS and Places from Microsoft Cortana. Table 1 shows the description of the two datasets. We change words occurring only once in the training set to $\langle \text{unk} \rangle$, and we map words in the test set but not existing in the training set to $\langle \text{unk} \rangle$.

ATIS is one of the most widely used datasets for the evaluation of spoken language understanding tasks. In ATIS, for

| ATIS Intent Detection Accuracy (%) | | Train set coverage | | | | |
|------------------------------------|---|--------------------|--------------|--------------|--------------|--------------|
| | | 10% | 20% | 40% | 80% | 100% |
| word embeddings fixed | 1-hot ($d = 637$) | 84.77 | 89.03 | 92.05 | 93.73 | 94.62 |
| | GloVe | 83.99 | 89.14 | 91.60 | 95.07 | 94.85 |
| | GloVe+VerbCls n -hot ($d = 661$) | 84.88 | 90.03 | 92.39 | 94.62 | 94.85 |
| | GloVe adjusted w/ syn & ant | 88.69 | 91.16 | 93.51 | 95.97 | 95.97 |
| | GloVe adjusted w/ related verbs&nouns | 88.69 | 91.04 | 94.06 | 95.52 | 95.86 |
| | GloVe adjusted w/ syn & ant & related verbs&nouns | 88.80 | 91.38 | 94.51 | 95.41 | 96.30 |
| | GloVe adjusted w/ all related words | 88.91 | 91.60 | 93.28 | 95.74 | 96.53 |
| | GloVe adjusted w/ syn & ant & all related words | 86.90 | 91.49 | 94.06 | 95.30 | 96.53 |
| word embeddings updated | 1-hot ($d = 637$) | 88.02 | 90.26 | 93.62 | 95.41 | 94.62 |
| | GloVe | 87.23 | 91.71 | 92.72 | 94.29 | 95.63 |
| | GloVe+VerbCls n -hot ($d = 661$) | 87.68 | 90.48 | 94.29 | 94.85 | 95.86 |
| | GloVe adjusted w/ syn & ant | 84.66 | 90.37 | 94.18 | 95.86 | 96.30 |
| | GloVe adjusted w/ related verbs&nouns | 85.55 | 89.36 | 92.72 | 96.08 | 96.53 |
| | GloVe adjusted w/ syn & ant & related verbs&nouns | 85.55 | 90.15 | 94.85 | 94.96 | 95.86 |
| | GloVe adjusted w/ all related words | 86.56 | 89.03 | 94.74 | 95.07 | 95.97 |
| | GloVe adjusted w/ syn & ant & all related words | 87.46 | 90.03 | 93.73 | 95.52 | 97.31 |

Table 3. Intent detection accuracies on ATIS.

example, the intents of “I need a flight tomorrow from Columbus to Minneapolis,” “what is the seating capacity of a Boeing 767”, and “show me the ground transportation in Denver” are “flight,” “capacity,” and “ground_service,” respectively.

In addition, we also evaluated intent detection performance on a real log dataset about places from Microsoft Cortana. Some examples of the dataset are provided below:

i want some hot wings tonight. (find-place)
where is the nearest planet fitness ? (find-place)
display map of ocala drive (get-route)
how far is my home to here ? (get-distance)
skype call now to mcdonalds (make-call)

The focus here is on audiovisual media in the places domain. The user interacts via voice with a system (in our case it was the Windows Phone) that can perform a variety of tasks such as browsing and searching. We used crowd-sourcing to collect and annotate queries with semantic entities. The dataset contains several thousand training and evaluation queries. We sampled 10,000 sentences from the places logs to compile our training, development, and test data. (see details in Table 1).

4.2. Semantic lexicons for enriching word embeddings

As described in Section 2, we enrich word embeddings with WordNet antonyms, PPDB antonyms, PPDB synonyms, and related words from Macmillan Dictionary. Table 2 shows how many words in the vocabulary were adjusted by specific semantic lexicons for each training set.

4.3. Experiment results

On top of the enriched word embeddings, we build a bidirectional LSTM model for the joint intent detection and slot

filling. We optimize the model with ADAM² [26]. Given the enriched word embeddings and the training set, we train the model for 100 epochs and choose the parameters showing the best intent detection accuracy on the development set and evaluate it on the test set. Since there is no development set in ATIS, we randomly sampled 20% of the training set to use as the development set. In the cases that 100% of ATIS training set is used, we fix the number of epochs to be the epoch showing the best intent accuracy for the development set when the model is trained with 80% of the training set.

Tables 3 and 4 show the intent detection accuracies on different proportions of the training set and different adjustment methods for the word embeddings. In these tables, “1-hot” denotes the case representing each word as the vectors whose dimensionality is the vocabulary size. In the vectors, the element corresponding to the word’s index in the vocabulary is set to 1 and all other elements are set to 0. “GloVe” denotes that the initial GloVe vectors are used without any adjustments. We used off-the-shelf GloVe vectors³ that are trained with 2014 Wikipedia dump and English Gigaword Fifth Edition for ATIS, but we trained GloVe vectors with the entire training set for Places since many of the words in Places are missed in the off-the-shelf GloVe vectors. “GloVe+VerbCls n -hot” denotes that each GloVe vector is concatenated with an n -hot vector representing the classes that the word is belonging to in Macmillan Dictionary. For example, “arrive” belongs to the classes of “General Words Meaning to Happen,” “To Succeed in Doing Something,” “Pregnancy and Having a Baby,” and so on. These belongings can be represented by setting 1 to the corresponding elements in a vector representing

²learning rate=0.001, $\beta_1 = 0.9$, $\beta_2 = 0.999$, and $\epsilon = 1e - 8$.

³Available from <http://nlp.stanford.edu/projects/glove/>

| Places Intent Detection Accuracy (%) | | Train set coverage | | | | |
|--------------------------------------|---|--------------------|--------------|--------------|--------------|--------------|
| | | 10% | 20% | 40% | 80% | 100% |
| word embeddings fixed | GloVe | 88.64 | 90.78 | 92.70 | 93.57 | 94.03 |
| | GloVe adjusted w/ syn & ant | 88.56 | 90.42 | 92.54 | 93.63 | 93.87 |
| | GloVe adjusted w/ related verbs & nouns | 88.51 | 90.61 | 92.49 | 93.62 | 94.23 |
| | GloVe adjusted w/ syn & ant & related verbs&nouns | 88.70 | 91.38 | 92.84 | 94.00 | 94.36 |
| | GloVe adjusted w/ all related words | 88.47 | 91.18 | 93.03 | 93.95 | 94.17 |
| | GloVe adjusted w/ syn & ant & all related words | 88.68 | 90.53 | 92.74 | 93.84 | 94.15 |
| word embeddings updated | GloVe | 86.44 | 90.71 | 92.56 | 93.88 | 94.08 |
| | GloVe adjusted w/ syn & ant | 86.50 | 91.08 | 92.34 | 94.03 | 94.44 |
| | GloVe adjusted w/ related verbs & nouns | 86.09 | 90.30 | 92.49 | 93.92 | 94.27 |
| | GloVe adjusted w/ syn & ant & related verbs&nouns | 86.58 | 90.70 | 92.39 | 93.83 | 94.20 |
| | GloVe adjusted w/ all related words | 87.23 | 90.59 | 92.47 | 94.06 | 94.31 |
| | GloVe adjusted w/ syn & ant & all related words | 86.53 | 89.97 | 92.69 | 94.10 | 94.18 |

Table 4. Intent detection accuracies on Places.

the classes while setting 0 to other elements. By attaching this vector to the GloVe vector, we can use the information of verb relations without adjusting GloVe vectors. However, they did not show better performance than the adjusted word vectors for ATIS. “Syn & ant” denotes that semantic lexicons (WordNet antonyms, PPDB synonyms, and PPDB antonyms) that were used in [9] are used for the adjustment, “related verb & nouns” denotes that the related verbs and nouns from Macmillan Dictionary are used, and “syn & ant & related verbs & nouns” denotes that all the synonyms, antonyms, related verbs and nouns are used for the adjustment.

Table 3 shows the experiment results on ATIS. For this dataset, in the cases just using initial GloVe without enrichment, fixing the word embeddings during the training is worse than updating the word embeddings. However, fixing the word embeddings enriched with semantic lexicons show better performance than updating GloVe during the training in most cases. In many of the cases where enriched word embeddings are updated during the training, the performances of enriched word embeddings are worse than just using GloVe vectors if 20% or smaller proportions of the training set are used though the enrichments show better performances if 40% or larger proportions of the training set are used. This is mainly because a certain subset of word embeddings can be substantially modified during the model training.

Table 4 shows the results on Places. For this dataset, fixing word embeddings that are enriched with synonyms, antonyms, and related verbs and nouns also showed better performances than using GloVe vectors for all the training set proportions regardless of fixing or updating during the training. However, for some enrichment methods, updating enriched GloVe vectors during the training showed better performance when using larger proportions of the training set. Since the vocabulary size of Places is large, we did not evaluate 1-hot and GloVe+VerbCls n -hot cases on Places.

In sum, for both ATIS and Places, using fixed word embeddings that are enriched with semantic lexicons showed

better performance than using the original GloVe vectors in most cases. Specifically, if smaller proportions of the training set are used, fixing the enriched word embeddings was better than updating the enriched word embeddings in most cases.

5. CONCLUSION AND FUTURE WORK

In this work, we showed that enriching word embeddings with semantic lexicons can be helpful not only for word-level tasks but also intent detection, which is a sentence-level downstream task. Evaluating on two datasets, ATIS and Places from Microsoft Cortana, using the fixed enriched word embeddings as the input layer of the bidirectional LSTM models showed better performances of intent detection than using the original GloVe vectors. Fixing the enriched word embeddings was more effective when the training set is small.

We tried semantic lexicons used in [9] and related words from Macmillan Dictionary to enrich the word embeddings. For places domain task, using synonyms, antonyms, and the related verbs and nouns showed good performance when the word embeddings are fixed. For ATIS, using all the related words showed good performance in many, but not all, cases.

As future work, we can first try more complex models such as stacked bidirectional LSTM [27] and LSTM with Memory Networks [28, 29]. Since having only small training sets is a more critical issue for such more complex models, providing well enriched word embeddings can be more helpful. We can also try different lexicons such as hypernym/hyponym relations and relational database entries for adjusting word embeddings.

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