

# Complexity of quantum impurity problems

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arXiv:1609.00735

A quantum impurity model describes a free fermion bath coupled to a small but strongly interacting impurity.



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$$H = H_{bath} + H_{imp}$$

Non-interacting  
(quadratic)

Acts nontrivially  
on  $m = O(1)$  fermi modes

Quantum impurity models were introduced to study the Kondo effect:

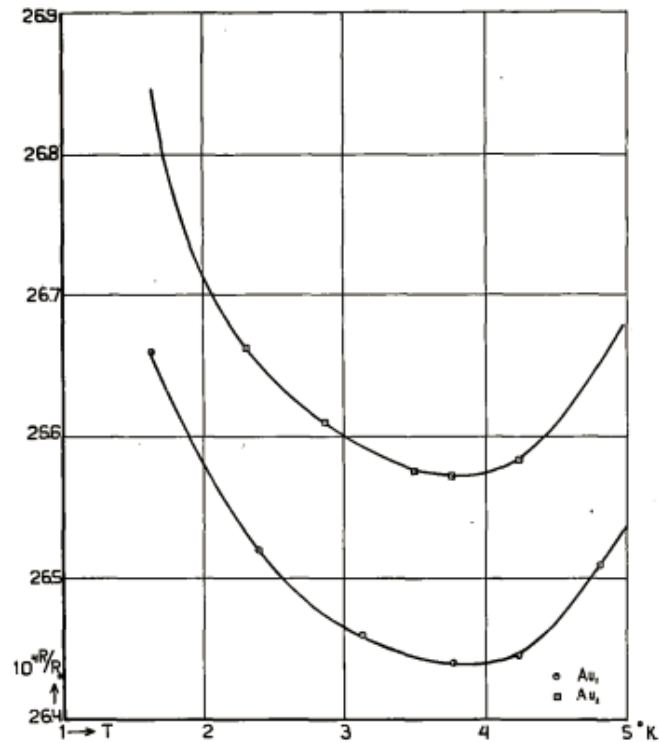


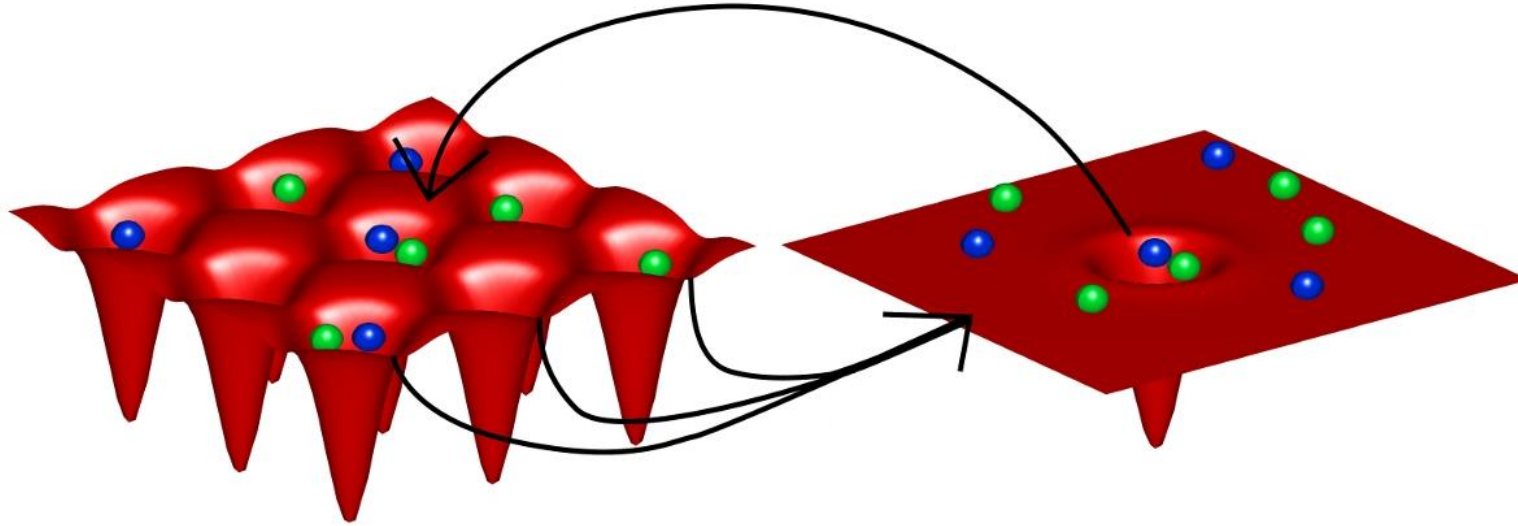
Fig. 1. Resistance of Au between 1°K. and 5°K.

“The resistance curve of the gold wires measured (not very pure) has a minimum”

W.J de Haas, J. de Boer, and G.J van den Berg  
*Physica* 1, 1115 (1933)

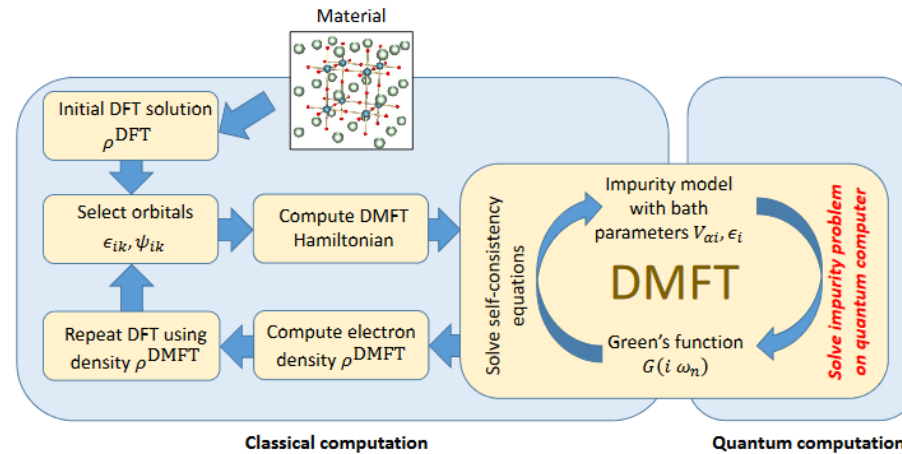
[Anderson 1961, Kondo 1964, Wilson 1975]

**Dynamical Mean Field Theory (DMFT):** A quantum many-body system on a lattice is simulated by a quantum impurity model.



A time consuming step in DMFT simulation is solving for the Green's function of the quantum impurity model.

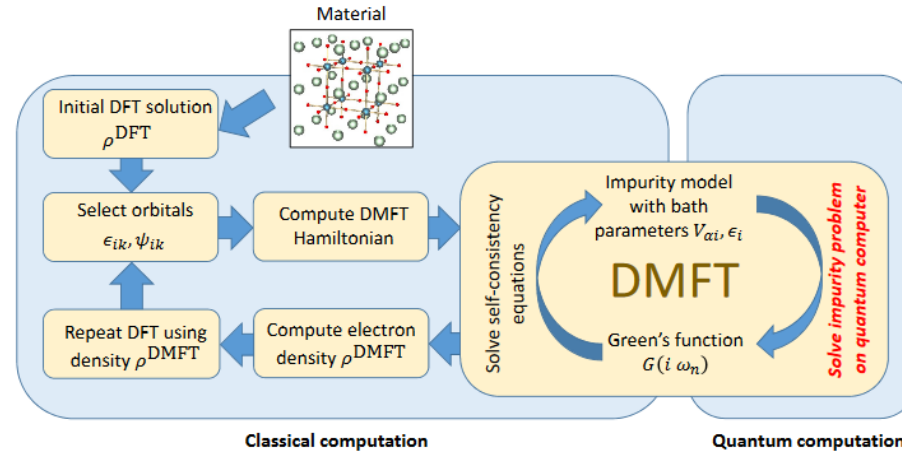
Bauer et al. suggest speeding up DMFT using quantum computers:



From Bauer et al.  
arXiv:1510.03859

FIG. 1. Overview of the DFT+DMFT approach. In our proposal, the solution of the impurity problem (highlighted in red), which is the computationally limiting step in computations using classical computers, is performed by a quantum computer.

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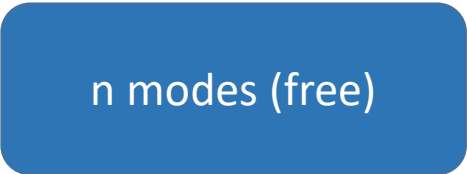

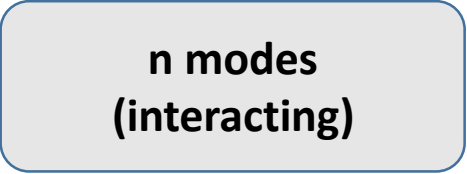
The first step is to prepare the ground state of a quantum impurity model. They propose using quantum adiabatic evolution (efficiency is unknown).

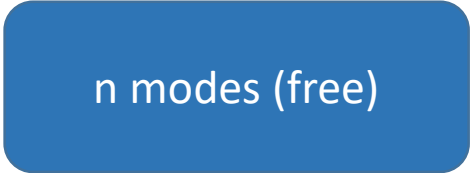
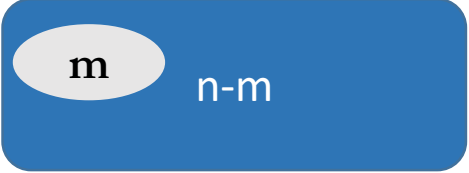
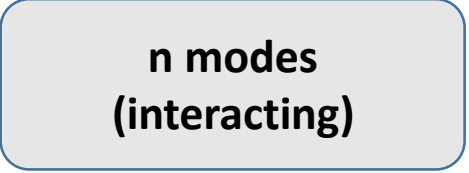


The Green's function is computed by an efficient quantum computation starting from the ground state.

**What can we prove about quantum impurity models in the general case?**

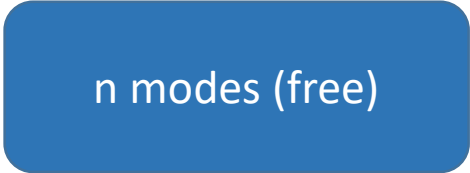
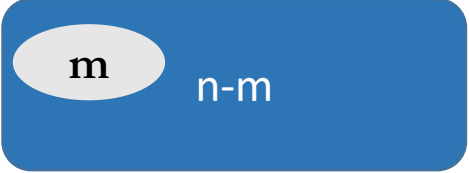
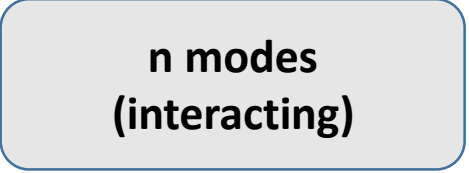




In this talk we will discuss the computational complexity of approximating the ground energy and computing low energy states...



	 <b>n modes (free)</b> Free fermions	 <b>m</b> <b>n-m</b> Quantum impurity models	 <b>n modes (interacting)</b> Interacting fermions
Concise description of ground state or low energy state?			
Efficient algorithm for ground energy?			

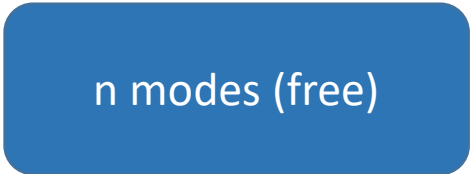
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<b>Concise description of ground state or low energy state?</b>	 Ground state is a Gaussian state, specified by $O(n^2)$ complex numbers.		
<b>Efficient algorithm for ground energy?</b>	 $O(n^3)$ algorithm (compute spectrum of a $2n \times 2n$ matrix)		

[Well known]

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[Well known]

[Kitaev 2000]  
 [Schuch Verstraete 2007]



Free fermions




Quantum impurity models



Interacting fermions


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[This talk!]

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**Part I: Setup**

**Part II: Ground state structure**

**Part III: Algorithm**

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# Fermionic Hilbert space

Hilbert space of  $n$  fermionic modes is spanned by Fock basis states

$$|x\rangle = a_1^{\dagger x_1} a_2^{\dagger x_2} \dots a_n^{\dagger x_n} |vac\rangle \quad x \in \{0,1\}^n$$

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Define **Majorana operators**

$$\begin{aligned} c_{2j-1} &= a_j + a_j^\dagger & j &= 1, 2, \dots, n \\ c_{2j} &= -i (a_j - a_j^\dagger) \end{aligned}$$

They are Hermitian and satisfy  $c_j c_k + c_k c_j = 2\delta_{jk}$ .



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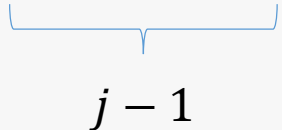
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## If you prefer qubits...

The Fock basis is naturally represented as the computational basis of  $n$  qubits

Majoranas are represented as  $n$ -qubit Pauli operators:

$$\begin{aligned} c_{2j-1} &= Z \otimes Z \otimes \dots \otimes Z \otimes X \otimes I_{n-j} \\ c_{2j} &= Z \otimes Z \otimes \dots \otimes Z \otimes Y \otimes I_{n-j} \end{aligned}$$



# Gaussian unitaries and states

A unitary is **Gaussian** if it maps each Majorana to a linear superposition of Majoranas:

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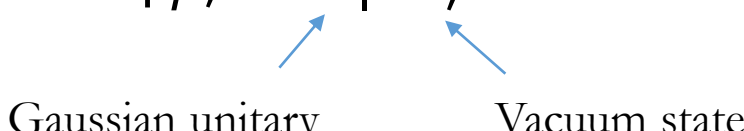
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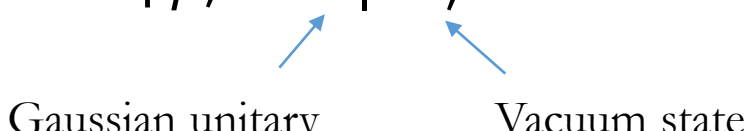
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**Useful fact #1:** Gaussian unitaries diagonalize free fermion Hamiltonians.

**Useful fact #2:** Gaussian states are fermionic analogues of stabilizer states. We can represent and manipulate them efficiently.

# Quantum impurity model Hamiltonian

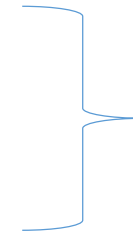
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The impurity Hamiltonian acts only on Majoranas  $c_1, c_2, \dots, c_m$  but is otherwise unrestricted

$$H_{imp} = \sum_{\substack{x \in \{0,1\}^m \\ |x| \text{ even}}} g_x c_1^{x_1} c_2^{x_2} \dots c_m^{x_m}$$



Since it describes free fermions,  $H_{bath}$  is diagonalized by a Gaussian unitary:

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WLOG Set to 0 for remainder of talk

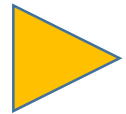
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**Part I: Setup**



**Part II: Ground state structure**

**Part III: Algorithm**

# Ground state covariance matrix

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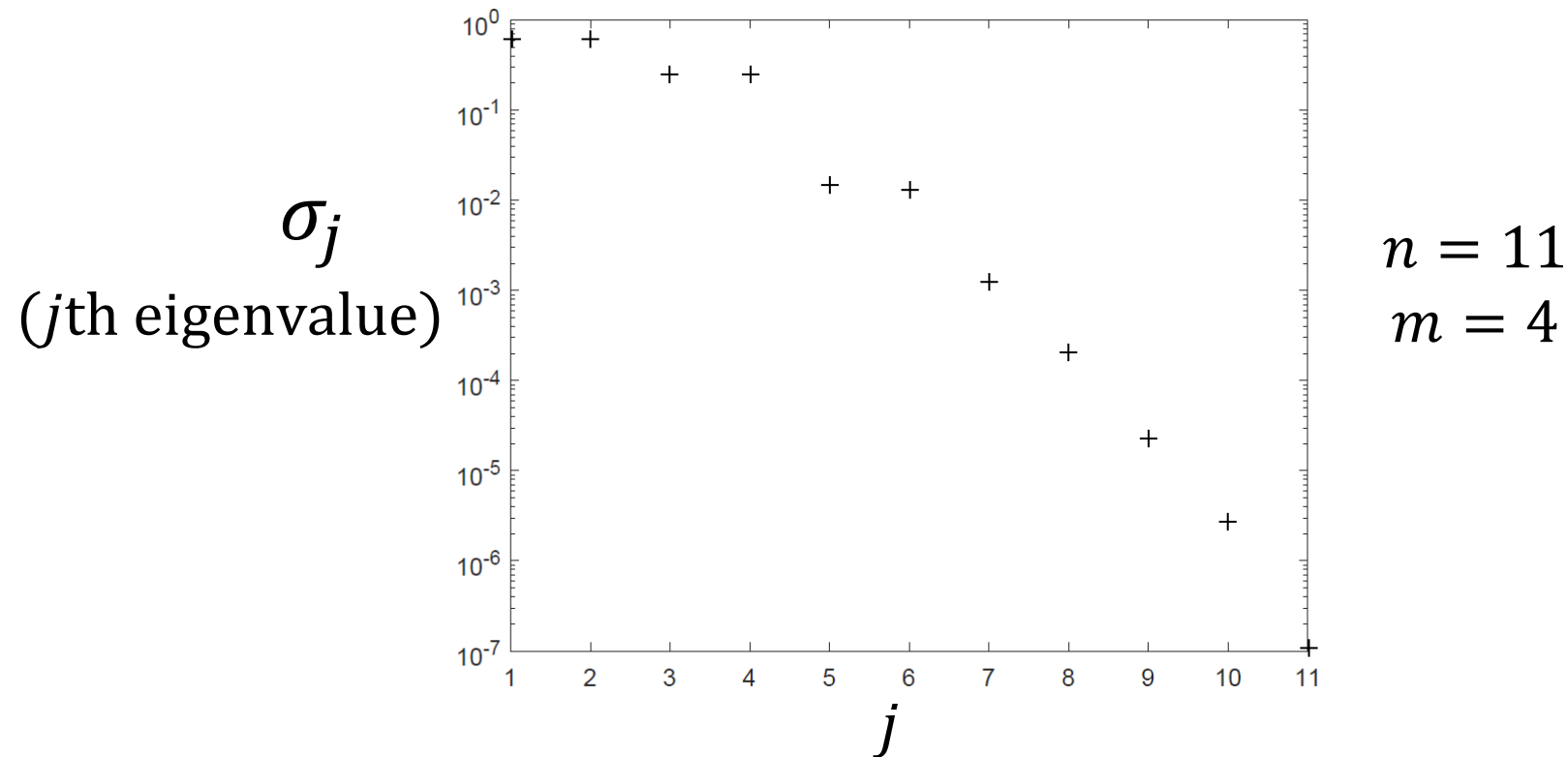
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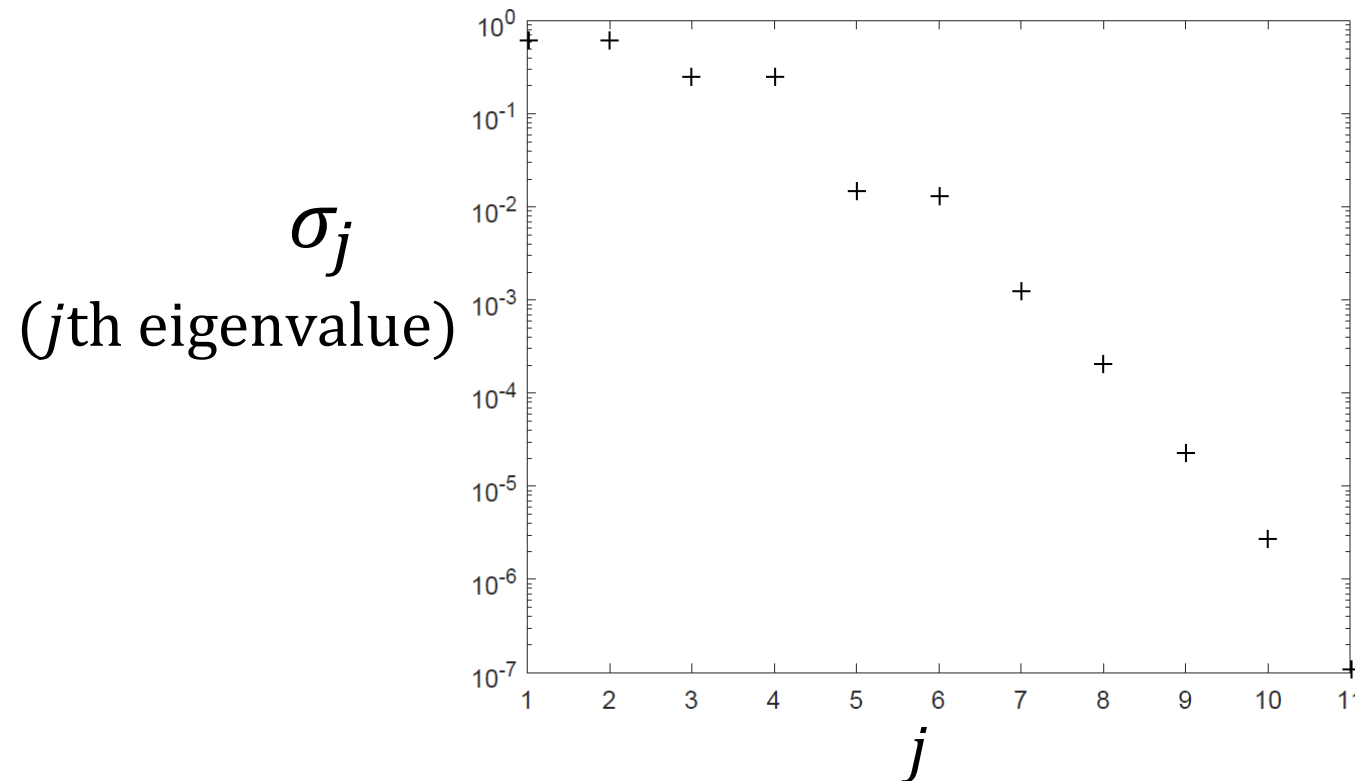


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$n = 11$   
 $m = 4$

**How general is this?**  
**Why should we care?**

# Exponential decay theorem

## Theorem

There exists a ground state  $\psi$  of  $H = H_{bath} + H_{imp}$  such that the following holds. Let  $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_n$  be the eigenvalues of the ground state covariance matrix

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**The proof has two steps:**

1. Using a variational characterization of ground states we show that  $C$  satisfies a set of matrix inequalities

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2. We show that any  $C$  satisfying the matrix inequalities has the claimed exponential decay. This part uses Zolotarev's rational approximation to the square root function.



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An important corollary is that a ground state has a concise classical representation...

# Concise representation of ground state



Can we make this work with small  $k$ ?

# Concise representation of ground state



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## Corollary of Exponential Decay Theorem

It suffices to take

$$k = O(1) \cdot m \log 2\omega^{-1} \cdot [\log \delta^{-1} + \log m + \log \log 2\omega^{-1}]$$

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(we can prove a slightly stronger bound  $\chi = \text{poly}(\omega^{-1})$  using an approximation without this property...)

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So for each tiny eigenvalue of  $C$  we have a fermi mode which is unoccupied with high probability. Choose a Gaussian unitary which transforms to this new set of fermi modes.

## Quantum impurity models

Concise description  
of ground state or  
low energy state?



**Answer #1:** A ground state  $\psi$  is approximated to any constant precision by a superposition of  $\text{poly}(\omega^{-1})$  Gaussian states.

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The results discussed so far are not algorithmic.

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# Algorithm

## **Theorem**

There is a classical algorithm which computes the ground energy of a quantum impurity model to within a given error tolerance  $\epsilon$ . The runtime of the algorithm is

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Scaling with  $m$  is close to optimal.

The algorithm produces a classical description of a state with energy at most  $\epsilon$ . This state is a superposition of  $\chi$  Gaussian states, with

$$\chi = \exp[O(m \log^3(m\epsilon^{-1}))]$$

The algorithm uses the following two facts...

**“Decoupling trick”** [useful if  $H_{bath}$  is highly degenerate. Proof is elementary linear algebra]  
If the bath Hamiltonian has  $D$  distinct single-particle energies then we apply a Gaussian unitary transformation which decouples all except  $Dm$  modes from the impurity.

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**“Few excitation subspace”**: [Consequence of exponential decay theorem]  
To approximate ground energy with precision  $\epsilon$  we can restrict our attention to the subspace with at most  $O(m \log^2(m\epsilon^{-1}))$  bath excitations.

# Algorithm outline

**Step 1:** Diagonalize the free fermion Hamiltonian  $H_{bath}$

$$H_{bath} = \sum_{j=1}^n \epsilon_j b_j^\dagger b_j$$

Computing all canonical modes and excitation energies takes time  $O(n^3)$  using linear algebra.

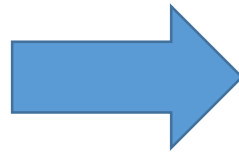
# Algorithm outline

**Step 2:** Discretize single-particle energies of  $H_{bath}$  to a uniform grid with spacing  $\Delta$ .

Original impurity problem

$$H_{bath} = \sum_{j=1}^n \epsilon_j b_j^\dagger b_j \quad 0 \leq \epsilon_j \leq 1$$

$$H = H_{bath} + H_{imp}$$



Discretized impurity problem

$$\tilde{H}_{bath} = \sum_{j=1}^n E_j b_j^\dagger b_j \quad \epsilon_j \leq E_j \leq \epsilon_j + \Delta$$

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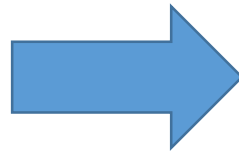
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We want the error introduced by the discretization to be  $\mathcal{O}(\epsilon)$ . How fine should we choose the grid spacing  $\Delta$ ?



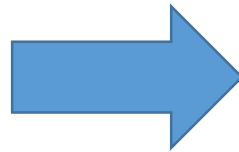
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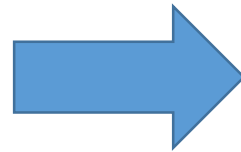
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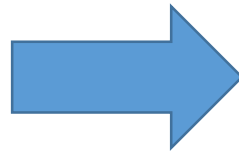
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It works because  $H - \tilde{H}$  has norm  $\mathcal{O}(\epsilon)$  when restricted to the few excitation subspace.

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**Because the grid is very coarse, the discretized bath Hamiltonian is highly degenerate.**

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**Final step of algorithm:** compute the smallest eigenvalue of  $H$  in a subspace of dimension at most

$$\sum_{j=0}^{N_{excitations}} \binom{N_{modes}}{j} = \exp[O(m\log^3(m\epsilon^{-1}))]$$

## Quantum impurity models

Concise description  
of ground state or  
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✓ **Answer #1:** A ground state  $\psi$  is approximated to any constant precision by a superposition of  $\text{poly}(\omega^{-1})$  Gaussian states.

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- ✓ **Answer #1:** A ground state  $\psi$  is approximated to any constant precision by a superposition of  $\text{poly}(\omega^{-1})$  Gaussian states.
- Answer #2:** For any  $\epsilon$  there exists a state with energy  $\leq \epsilon$  and Gaussian rank  $\chi = \exp[O(m \log^3(m\epsilon^{-1}))]$ .

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# Extensions and open questions

**Can the quasipolynomial scaling with  $\epsilon$  be improved?**

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**Further applications of low rank Gaussian states? Analogs between Gaussian/stabilizer states?**

We provide some new technical tools in this direction. For example, a condition under which an ensemble of Gaussian states forms an analog of a 2-design.

Thanks!