

$SU(p, q)$ coherent states and Gaussian de Finetti theorems

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Anthony Leverrier

Inria Paris

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Motivation

Generalize de Finetti reductions to problems with continuous variables

- ▶ de Finetti: permutation (S_n) invariance in $\mathcal{H}^{\otimes n} \implies$ i.i.d. $|\phi\rangle^{\otimes n} \in \mathcal{H}^{\otimes n}$
- ▶ but only if the local dimension is small
- ▶ what about continuous-variable systems (Fock space)?
- ▶ **This work:** unitary $U(n)$ invariance \implies Gaussian i.i.d.
 - ▶ mathematical framework: arXiv:1612.05080 (special thanks to Matthias Christandl!)
 - ▶ application to QKD with continuous variables: arXiv:1701.03393

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Outline of the talk

- 1 The (usual) symmetric subspace and de Finetti theorems
- 2 Application to quantum key distribution
- 3 The “unitary” symmetric subspace and $SU(p, q)$ coherent states
- 4 Gaussian de Finetti theorems

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The symmetric subspace

Let $\mathcal{H} = \mathbb{C}^d$, the space $\mathcal{H}^{\otimes n} = (\mathbb{C}^d)^{\otimes n}$ of n qudits is exponentially large.

\implies the permutation group S_n acts by permuting the factors

Definition

$$\text{Sym}^n(\mathbb{C}^d) := \left\{ |\psi\rangle \in (\mathbb{C}^d)^{\otimes n} : P(\pi)|\psi\rangle = |\psi\rangle, \forall \pi \in S_n \right\}$$

Main properties

- ▶ It is as small as it can be: spanned by $SU(d)$ coherent states

$$\text{Sym}^n(\mathbb{C}^d) = \text{Span} \left\{ |\phi\rangle^{\otimes n} \quad : \quad |\phi\rangle \in \mathbb{C}^d \right\}$$

- ▶ It has **polynomial dimension**: $\dim = O(n^d) \dots$ if $d \ll n$
- ▶ Symmetric operators admit a purification in the symmetric subspace of $(\mathcal{H} \otimes \mathcal{H})^{\otimes n}$
 \implies we can restrict our attention to pure states

$SU(d)$ coherent states

The states $|\phi\rangle^{\otimes n}$ with $|\phi\rangle \in \mathbb{C}^d$ are an example of generalized CS, associated to $SU(d)$.

An example of Perelomov generalized CS construction for $\mathcal{H}^{\otimes n} \cong (\mathbb{C}^d)^{\otimes n}$

- ▶ a **Lie group** G , e.g. $SU(d)$, and a representation $(g \mapsto T_g)$ of G on $\mathcal{H}^{\otimes n}$

$$u \in SU(d) \quad \mapsto u^{\otimes n} \quad \text{on} \quad (\mathbb{C}^d)^{\otimes n}$$

- ▶ a distinguished **vector** $\psi_0 \in \mathcal{H}^{\otimes n}$, e.g. $|0\rangle^{\otimes n}$
- ▶ generalized **G-coherent states**: $\{|\psi_g\rangle = T_g|\psi_0\rangle, g \in G\}$, e.g. $|\phi_u\rangle^{\otimes n} = u^{\otimes n}|0\rangle^{\otimes n}$
- ▶ H : stationary subgroup $\{g \in G : T_g|\psi_0\rangle = e^{i\theta}|\psi_0\rangle\}$
- ▶ the CS are labeled by elements of G/H , e.g. $\phi_u \in SU(d)/SU(d-1) \cong \mathcal{S}_1(\mathbb{C}^d)$

This work: $SU(p, q)$ CS are a natural generalization for bosonic systems (\mathcal{H} = Fock space)

Theorem

Tracing out a few subsystems of a symmetric density operator $\rho = |\Psi\rangle\langle\Psi|$ on $\mathcal{H}^{\otimes(n+k)}$ gives an approximate **mixture** of CS:

$$\mathrm{tr}_{\mathcal{H}_{n+1}, \dots, \mathcal{H}_{n+k}}(\rho) \approx_{\varepsilon} \int (|\phi\rangle\langle\phi|)^{\otimes n} \nu(\phi) d\phi \quad \text{with} \quad \varepsilon = O\left(\frac{dn}{n+k}\right)$$

Main property of CS: they **resolve the identity** on $\mathrm{Sym}^{n+k}(\mathbb{C}^d)$:

$$\frac{1}{\dim(\mathrm{Sym})} \int_{\mathcal{S}_1(\mathbb{C}^d)} (|\phi\rangle\langle\phi|)^{\otimes(n+k)} d\psi = \mathbb{1}_{\mathrm{Sym}}$$

intuition.

- ▶ $|\Psi\rangle = \int |\phi\rangle^{\otimes(n+k)} \lambda(\phi) d\phi$
- ▶ $\mathrm{tr}_{\mathcal{H}_{n+1}, \dots, \mathcal{H}_{n+k}}(|\Psi\rangle\langle\Psi|) = \int (|\phi\rangle\langle\psi|)^{\otimes n} (\langle\psi|\phi\rangle)^k \lambda(\phi) d\phi \lambda(\psi) d\psi$
- ▶ $(\langle\phi|\psi\rangle)^k \rightarrow \delta_{\phi,\psi}$ for $k \rightarrow \infty$.



de Finetti reduction: Christandl, König, Renner (2009)

Consider two CPTP maps $\mathcal{E}, \mathcal{F} : \mathcal{H}^{\otimes n} \rightarrow \mathcal{H}'$.

Diamond norm: $\|\mathcal{E} - \mathcal{F}\|_{\diamond}$

- ▶ natural notion of distance between 2 CPTP maps, with an operational meaning:
 - ▶ quantifies the maximal probability of distinguishing \mathcal{E} and \mathcal{F}
- ▶ not easy to compute

$$\|\mathcal{E} - \mathcal{F}\|_{\diamond} := \sup_{\|\rho\|_1 \leq 1} \|((\mathcal{E} - \mathcal{F})_{\mathcal{H}^{\otimes n}} \otimes \mathbb{1}_{\mathcal{K}})\rho_{\mathcal{H}^{\otimes n}\mathcal{K}}\|_1 \quad (\text{with } \mathcal{K} \cong \mathcal{H}^{\otimes n})$$

de Finetti reduction

If Δ is permutation-invariant, then

$$\|\Delta\|_{\diamond} \leq n^{\text{poly}(d)} \|(\Delta \otimes \mathbb{1})\tau_{\mathcal{H}^n\mathcal{R}}\|_1 \quad \text{with} \quad \tau_{\mathcal{H}^n} = \int \sigma_{\mathcal{H}}^{\otimes n} \mu(\sigma_{\mathcal{H}})$$

\implies only needs to consider a specific i.i.d. state (de Finetti state)

Summary about the symmetric subspace

- ▶ useful to analyze protocols, systems with permutation invariance
- ▶ useful ansatz: the $SU(d)$ coherent states, i.i.d. states $|\phi\rangle^{\otimes n}$
- ▶ these states are “sufficient”: they resolve the identity on Sym
 - ▶ **de Finetti theorem**: the partial trace of a symmetric state is approx. a mixture of CS
 - ▶ **de Finetti reduction**: computing $\|\Delta\|_{\diamond}$ for Δ symmetric can be done by considering CS inputs
- ▶ the approach breaks down for large d (ex: continuous variables)

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QKD protocols

with qubits (ex: BB84)

- ▶ Alice and Bob share n **2-qubit** states.
- ▶ They measure their systems with $\{|0\rangle, |1\rangle, |+\rangle, |-\rangle\}$.
- ▶ They each get n classical outcomes (**basis, bit**)
- ▶ Parameter estimation, error correction, privacy amplification
- ▶ They obtain 2 keys

with continuous variables

- ▶ Alice and Bob share n **2-mode** states.
- ▶ They measure their systems with **hererodyne detection** $\{|\alpha\rangle : \alpha \in \mathbb{C}\}$.
- ▶ They each get n classical outcomes $\alpha_i \in \mathbb{C}$
- ▶ Parameter estimation, error correction, privacy amplification
- ▶ They obtain 2 keys

Security proof via a de Finetti reduction

QKD protocol: completely-positive trace-preserving map $\mathcal{E} : \mathcal{H}_{AB}^{\otimes n} \rightarrow \mathcal{S}_A \mathcal{S}_B \mathcal{C}$

- ▶ maps an arbitrary state ρ_{AB} as input to keys S_A, S_B

Security of \mathcal{E}

- ▶ compare \mathcal{E} to an ideal protocol \mathcal{F} that either outputs identical, secret keys or aborts
- ▶ \mathcal{E} is ε -secure if $\|\mathcal{E} - \mathcal{F}\|_{\diamond} \leq \varepsilon \implies$ needs to consider all possible input states

de Finetti reduction: Christandl, König, Renner (2009)

If \mathcal{E}, \mathcal{F} are permutation-invariant, then

$$\|(\mathcal{E} - \mathcal{F})\|_{\diamond} \leq n^{\text{poly}(d)} \|((\mathcal{E} - \mathcal{F}) \otimes \mathbb{1})\tau_{\mathcal{H}^n \mathcal{R}}\|_1 \quad \text{with} \quad \tau_{\mathcal{H}^n} = \int \sigma_{\mathcal{H}}^{\otimes n} \mu(\sigma_{\mathcal{H}})$$

The term $\|((\mathcal{E} - \mathcal{F}) \otimes \mathbb{1})\tau_{\mathcal{H}^n \mathcal{R}}\|_1$ can be bounded by proving that the protocol is **secure against collective attacks**: inputs restricted to $(\sigma_{AB})^{\otimes n}$.

Continuous-variable protocols

Alice and Bob are not exchanging finite-dimensional systems, but rather standard (Glauber) coherent states:

- ▶ **appealing from an implementation viewpoint**: coherent states are easy to prepare and measure with coherent detection (homodyne detection): no need for photon counters
- ▶ \mathcal{H} : infinite-dimensional Fock space $\implies d = \infty$
- ▶ previous results have error term scaling as $n^{\text{poly}(d)}$
- ▶ possible approach: truncate the Hilbert space, but $d = \Omega(\log n)$ is needed \implies not good enough for applications

Solution

- ▶ exploit invariance of the protocol under the action of $U(n)$ (instead of S_n)

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Fock spaces

Fock space

Let H be a finite-dimensional Hilbert space.

$$\mathcal{F}(H) := \bigoplus_{k=0}^{\infty} \text{Sym}^k(H),$$

with $\text{Sym}^k(H)$: the symmetric part of $H^{\otimes k}$ (system with k excitations).

n-mode space: $H = \mathbb{C}^n$

- ▶ orthonormal basis of $\mathcal{F}(H)$:

$$\{|k_1, k_2, \dots, k_n\rangle : k_i \in \mathbb{N}\}$$

- ▶ a pair of annihilation/creation operators is associated with each mode: $[a_i, a_i^\dagger] = \mathbb{1}$.
- ▶ states can be expressed as functions of creation operators applied to the vacuum:

$$|k_1, k_2, \dots, k_n\rangle = \frac{1}{\sqrt{k_1! \dots k_n!}} (a_1^\dagger)^{k_1} \dots (a_n^\dagger)^{k_n} |0\rangle$$

Segal-Bargmann representation: $\mathcal{F}(\mathbb{H})$ as a space of holomorphic functions

- ▶ Bras and kets are not well-suited to deal with states of many modes
- ▶ A better approach is to realize $\mathcal{F}(\mathbb{C}^n)$ as a space of functions of n variables:
 - ▶ $|\psi\rangle \leftrightarrow \psi(z_1, \dots, z_n)$
 - ▶ with norm $\|\psi\|^2 := \langle \psi, \psi \rangle = \frac{1}{\pi^n} \int \exp(-|z|^2) |\psi(z)|^2 dz < \infty$
- ▶ to recover the bra-ket formalism: replace the z_k by \hat{a}_k^\dagger and apply to the vac. state

Examples

- ▶ Glauber coherent state: $|\alpha\rangle = \sum_{k=0}^{\infty} \frac{\alpha^k}{\sqrt{k!}} |k\rangle = e^{\hat{a}^\dagger} |0\rangle \leftrightarrow e^{\alpha z}$

- ▶ Two-mode squeezed vacuum state:

$$\sum_{k=0}^{\infty} \lambda^k |k, k\rangle = \sum_{k=0}^{\infty} \frac{\lambda^k}{k!} \hat{a}^{\dagger k} \hat{b}^{\dagger k} = e^{\lambda \hat{a}^\dagger \hat{b}^\dagger} |0\rangle \leftrightarrow e^{\lambda z z'}$$

- ▶ n 2-mode squeezed vacuum states:

$$\bigotimes_{i=1}^n \left(\sum_{k=0}^{\infty} \lambda^k |k, k\rangle \right) = e^{\lambda (\hat{a}_1^\dagger \hat{b}_1^\dagger + \dots + \hat{a}_n^\dagger \hat{b}_n^\dagger)} |0\rangle \leftrightarrow e^{\lambda (z_1 z'_1 + \dots + z_n z'_n)}$$

Action of the unitary group on $F_{p,q,n}$

Consider $(p + q)$ copies of $\mathcal{F}(\mathbb{C}^n)$

▶ $F_{p,q,n} = \mathcal{H}_{A_1} \otimes \mathcal{H}_{A_2} \otimes \cdots \otimes \mathcal{H}_{A_p} \otimes \mathcal{H}_{B_1} \otimes \cdots \otimes \mathcal{H}_{B_q}$ with $\mathcal{H}_{A_i} \cong \mathcal{H}_{B_j} \cong \mathcal{F}(\mathbb{C}^n)$

▶ functions of $n(p + q)$ variables:

$$\underbrace{(z_{1,1} \cdots z_{n,1})}_{\vec{z}_1}, \dots, \underbrace{(z_{1,p} \cdots z_{n,p})}_{\vec{z}_p}; \underbrace{(z'_{1,1} \cdots z'_{n,1})}_{\vec{z}'_1}, \dots, \underbrace{(z'_{1,q} \cdots z'_{n,q})}_{\vec{z}'_q}$$

The **unitary group** $U(n)$ acts in a natural way on $F_{p,q,n} := F(\mathbb{C}^{np} \otimes \mathbb{C}^{nq})$

$$\vec{z}_i \mapsto u\vec{z}_i, \quad \vec{z}'_j \mapsto \bar{u}\vec{z}'_j \quad (\text{change of variables})$$

$F_{p,q,n}$ carries a representation of $U(n)$:

$$V_u : \psi(\vec{z}_1, \dots, \vec{z}_p, \vec{z}'_1, \dots, \vec{z}'_q) \mapsto \psi(u\vec{z}_1, \dots, u\vec{z}_p, \bar{u}\vec{z}'_1, \dots, \bar{u}\vec{z}'_q)$$

- ▶ Physically, a unitary $u \in U(n)$ is a **linear optical network** made of phase-shifters and beamsplitters acting on n modes.
- ▶ The previous CV QKD protocol is invariant under $U(n)$.

The symmetric subspace $F_{p,q,n}^{U(n)}$

$$F_{p,q,n}^{U(n)} = \{|\psi\rangle \in F_{p,q,n} : V_u|\psi\rangle = |\psi\rangle, \forall u \in U(n)\}$$

$$|\lambda\rangle^{\otimes n} = e^{\lambda(z_1 z'_1 + \dots + z_n z'_n)} \in F_{1,1,n}^{U(n)} \quad (n \text{ two-mode squeezed vacuum states})$$

The quadratic form $Z := z_1 z'_1 + \dots + z_n z'_n$ is invariant under the change of variable $z \rightarrow uz, z' \rightarrow \bar{u}z'$:

$$\sum_{k=1}^n (uz)_k (\bar{u}z')_k = \sum_{k=1}^n \sum_{i=1}^n \sum_{j=1}^n u_{k,i} z_i \bar{u}_{k,j} z'_j = \sum_{i=1}^n \sum_{j=1}^n z_i z'_j \sum_{k=1}^n u_{k,i} (u^\dagger)_{j,k} = \sum_{i=1}^n z_i z'_i$$

since $uu^\dagger = \mathbb{1}_n$.

Introduce the $p \times q$ operators: $Z_{i,j} = z_{1,i} z'_{1,j} + \dots + z_{n,i} z'_{n,j} \leftrightarrow a_{1,i}^\dagger b_{1,j}^\dagger + \dots + a_{n,i}^\dagger b_{n,i}^\dagger$
 $\implies Z_{i,j}$ corresponds to the coherent addition of a photon in \mathcal{H}_{A_i} and \mathcal{H}_{B_j} .

- ▶ Obs.: $\psi(Z_{1,1}, \dots, Z_{p,q}) \in F_{p,q,n}^{U(n)} \implies$ only $p \times q$ parameters, instead of $n(p+q)$
- ▶ Main technical contribution: these are the only states

$SU(p, q)$ coherent states

$$SU(p, q) := \left\{ A \in M_{p+q}(\mathbb{C}) : A \mathbb{1}_{p,q} A^\dagger = \mathbb{1}_{p,q}, \quad \det A = 1 \right\} \quad \text{with} \quad \mathbb{1}_{p,q} = \begin{pmatrix} \mathbb{1}_p & 0 \\ 0 & -\mathbb{1}_q \end{pmatrix}$$

Perelomov's construction (1972) applied to $G = SU(p, q)$ (noncompact group)

- ▶ stationary subgroup: $H = SU(p) \times SU(q) \times U(1)$
- ▶ factor space G/H : set \mathcal{D} of $p \times q$ matrices Λ such that $\Lambda \Lambda^\dagger < \mathbb{1}_p$ (spectral norm < 1)
- ▶ generalized coherent state associated with $\Lambda \in \mathcal{D}$

$$|\Lambda, n\rangle = |\Lambda, 1\rangle^{\otimes n} := \det(1 - \Lambda \Lambda^\dagger)^{n/2} \exp(\lambda_{11} Z_{11} + \cdots + \lambda_{p,q} Z_{pq}) |0\rangle$$

- ▶ $|\Lambda, n\rangle$ is an **i.i.d. Gaussian state** (exp. of a quadratic form in the creation operators).

Theorem (arXiv:1612.05080)

$$F_{p,q,n}^{U(n)} = \text{Span}\{|\Lambda, n\rangle : \Lambda \in \mathcal{D}\}$$

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Gaussian de Finetti

de Finetti Theorem (arXiv:1612.05080)

Let $n, k \geq p + q$, $\rho = |\psi\rangle\langle\psi|$ symmetric (pure) state in $F_{p,q,n+k}^{U(n+k)}$. Then tracing out over $k(p+q)$ modes gives an approximate mixture of $SU(p, q)$ coherent states:

$$\mathrm{tr}_{k(p+q)}(\rho) \approx_{\varepsilon} \int \nu(\Lambda) |\Lambda, n\rangle\langle\Lambda, n| d\mu(\Lambda) \quad \text{with} \quad \varepsilon = O\left(\frac{pqn}{n+k}\right)$$

de Finetti reduction for $p = q = 2$, application to QKD (arXiv:1701.03393)

Let $\Delta : \mathrm{End}(F_{1,1,n}^{\leq K}) \rightarrow \mathrm{End}(\mathcal{H}')$ such that $\Delta \circ V_u = \Delta$ for all $u \in U(n)$, then

$$\|\Delta\|_{\diamond} \leq \frac{K^4}{50} \|(\Delta \otimes \mathrm{id})\tau_{\mathcal{H}\mathcal{N}}^{\eta}\|_1,$$

with $\tau_{\mathcal{H}}^{\eta}$ a mixture of $|\Lambda, n\rangle$.

\implies prefactor improved from $2^{\mathrm{polylog}(n)}$ to $O(n^4)$ compared to previous results

\implies sufficient to consider security for Gaussian i.i.d. input states

Gaussian de Finetti: proof technique

rather straightforward once we have defined the coherent states

Resolution of the identity on $F_{p,q,n}^{U(n)}$ (arXiv:1612.05080)

For $n \geq p + q$,

$$\int_{\mathcal{D}} |\Lambda, n\rangle \langle \Lambda, n| d\mu_n(\Lambda) = \mathbb{1}_{F_{p,q,n}^{U(n)}},$$

with the invariant measure on \mathcal{D} : $d\mu_n(\Lambda) = C_n [\det(\mathbb{1}_p - \Lambda\Lambda^\dagger)]^{-(p+q)} \prod_{i,j}^p d\Lambda_{i,j}$

Approximate version for bounded energy, $p = q = 2$ (arXiv:1701.03393)

For $n \geq 5$ and $\eta \in [0, 1[$, if $K \leq \frac{\eta N}{1-\eta}$ for $N = n - 5$, then

$$\int_{\mathcal{D}_\eta} |\Lambda, n\rangle \langle \Lambda, n| d\mu_n(\Lambda) \geq (1 - \varepsilon) \Pi_{\leq K}$$

with $\varepsilon = 2N^4(1 + K/N)^7 \exp(-ND(\frac{K}{K+N} \parallel \eta))$ and $\Pi_{\leq K}$ projector onto the finite subspace with less than K excitations in $F_{2,2,n}^{U(n)}$

Conclusion

- ▶ de Finetti theorems are ubiquitous for studying large permutation-invariant multipartite systems / protocols
- ▶ but they fail to address infinite-dimensional systems (continuous variables)
- ▶ for some problems, a stronger invariance under $U(n)$ is satisfied
 - ▶ the corresponding symmetric subspace is spanned by $SU(p, q)$ coherent states
 - ▶ Gaussian de Finetti: considering such Gaussian i.i.d. states is sufficient
 \implies ex: continuous-variable QKD

Dualities

- ▶ Schur-Weyl duality:

$$SU(d) \leftrightarrow S_n \quad \text{on} \quad (\mathbb{C}^d)^{\otimes n} = \mathbb{C}^d \otimes \dots \otimes \mathbb{C}^d$$

- ▶ this work:

$$SU(p, q) \leftrightarrow U(n) \quad \text{on} \quad F_{p,q,n} = F_{p,q,1} \otimes \dots \otimes F_{p,q,1}$$